

# Techniques for Formal Modelling and Verification on Dynamic Memory Allocators

Bin FANG<sup>1,2</sup>

<sup>1</sup> East China Normal University, Shanghai, China

<sup>2</sup> IRIF, University Paris Diderot and CNRS, Paris, France

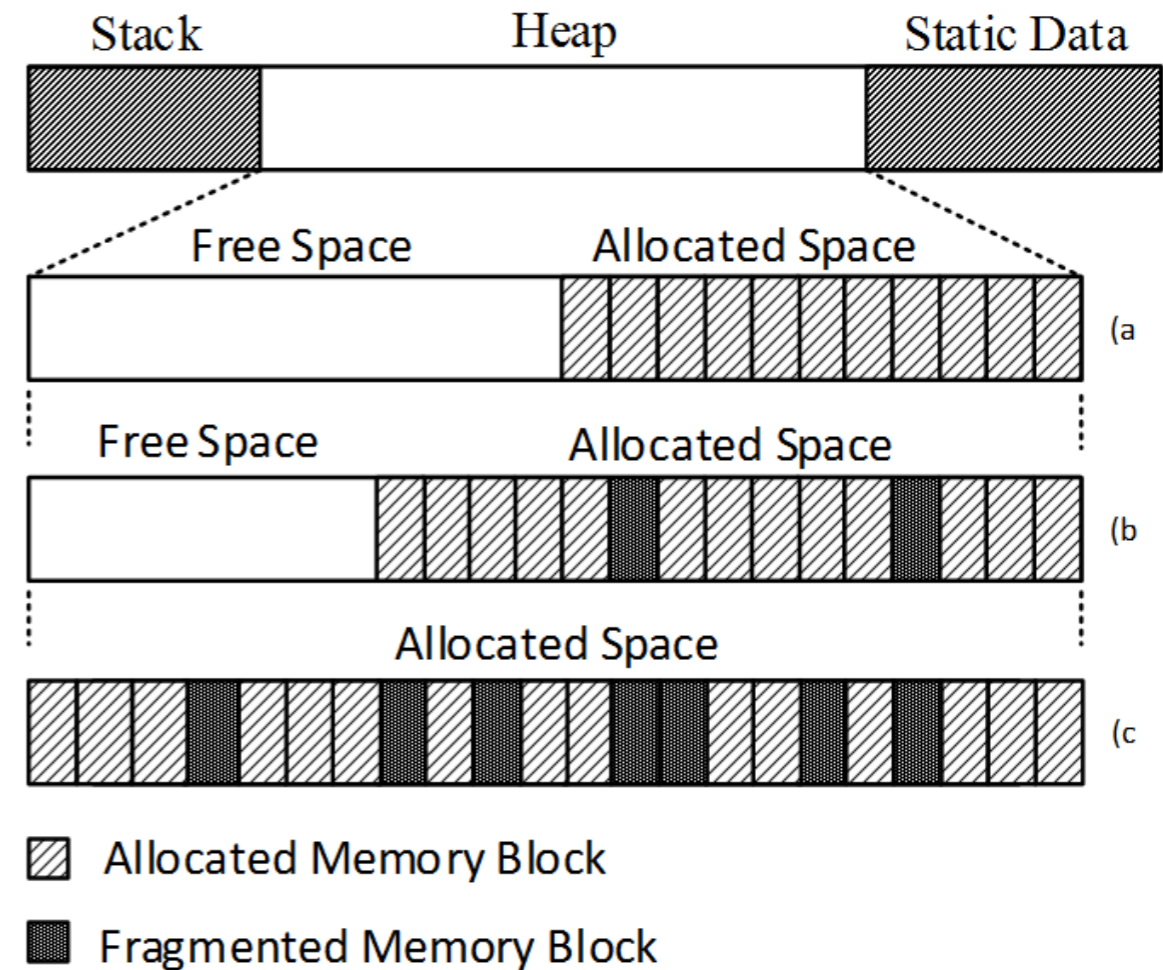
Phd defense, Shanghai 11/09/2018

---

- 1. Dynamic memory allocators (DMAs)**
  1. Importance and challenges
  2. Diverse design tactics
  3. Informal properties
  
- 2. Top-down formal modelling of DMAs**
  1. Specification using Event-B
  2. Modular and stepwise refinement
  
- 3. Algorithmic verification by static analysis**
  1. Separation logic fragment **SLMA**
  2. Logic based abstractions
  3. Static analysis based on abstract interpretation
  
- 4. Conclusion and perspectives**

# Dynamic Memory Allocators

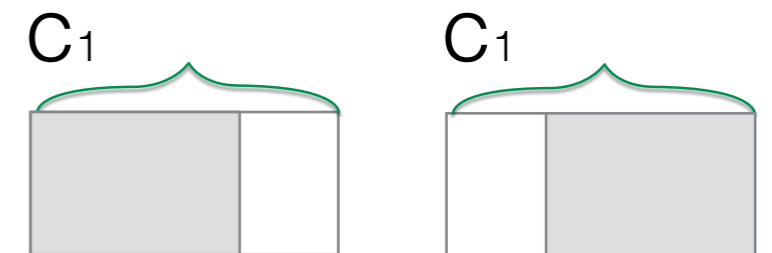
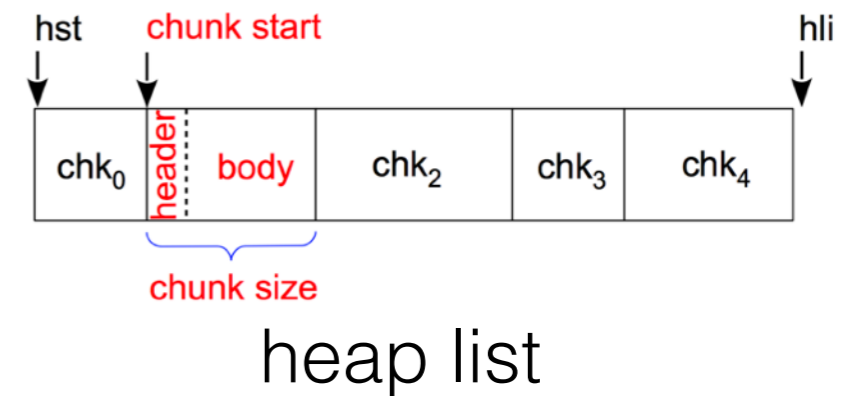
- Operating system, e.g., RTOS
- Programming language library
- Diverse features



```
void init(); //initialization  
bool free(void* p); //deallocation  
void* alloc(size_t sz); //allocation  
void* realloc(void* p, size_t sz); //change size of p
```

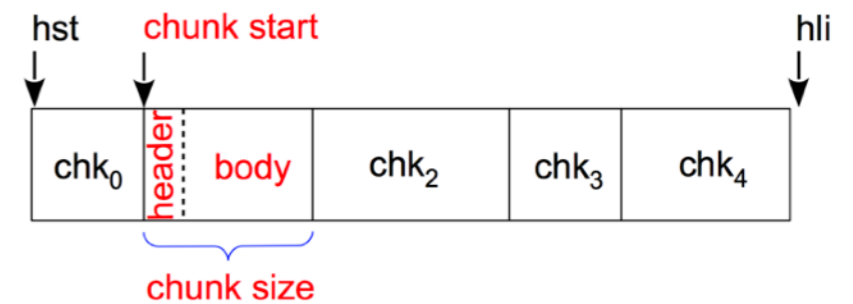
## Design tactics

- heap list: singly / doubly linked list (SLL,DLL)
- fit policy: first fit, best fit, next fit
- splitting
- defragmentation strategy (coalescing policy)
- free chunks management (free list, eg., SLL, DLL )
- ...



## Design tactics

- heap list: singly / doubly linked list (SLL,DLL)
- fit policy: first fit, best fit, next fit
- splitting
- defragmentation strategy (coalescing policy)
- free chunks management (free list, eg., SLL, DLL )
- ...

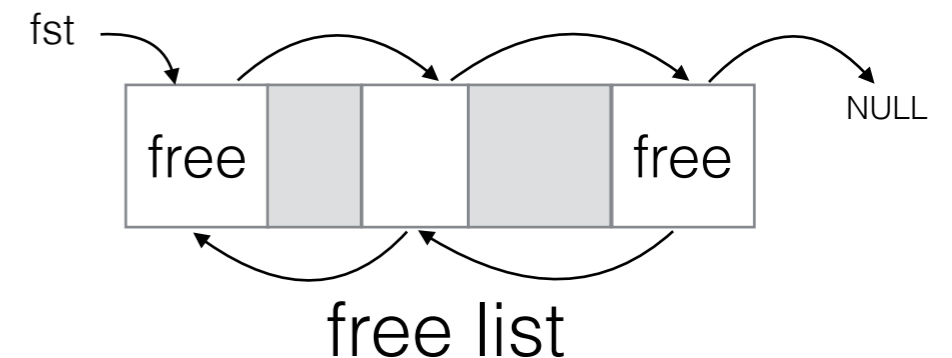
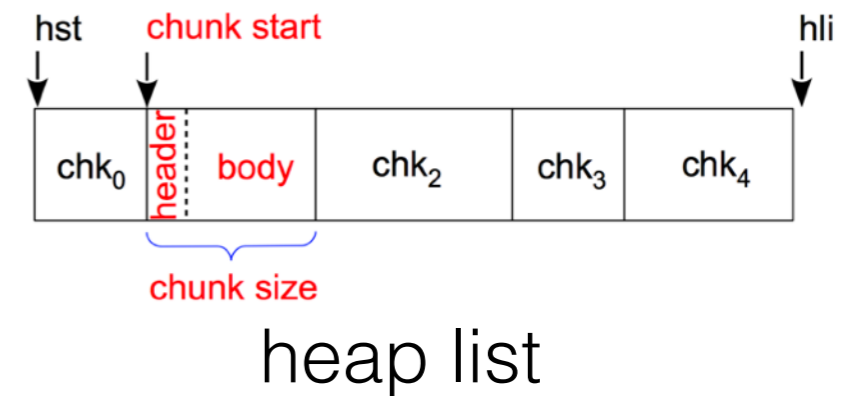


heap list

- *eager coalescing*
- *lazy coalescing*
- *no coalescing*

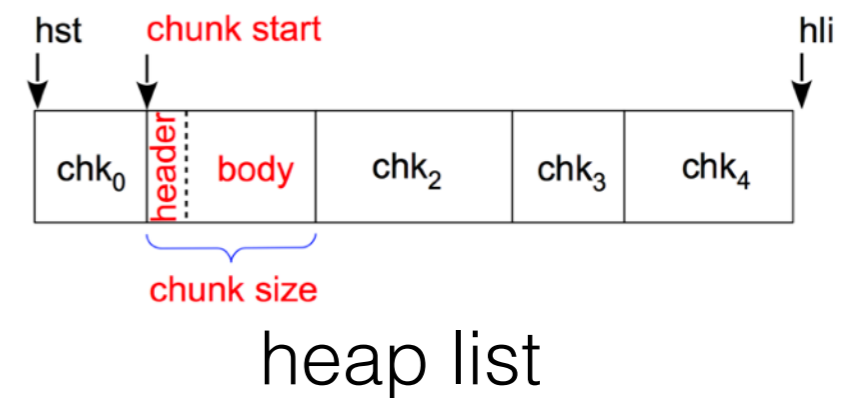
## Design tactics

- heap list: singly / doubly linked list (SLL, DLL)
- fit policy: first fit, best fit, next fit
- splitting
- defragmentation strategy (coalescing policy)
- free chunks management (free list, eg., SLL, DLL)
- ...



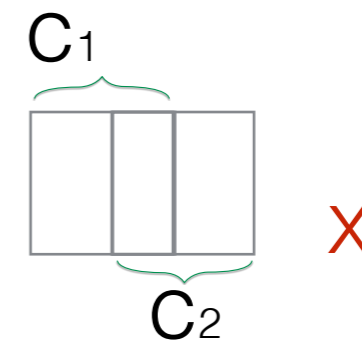
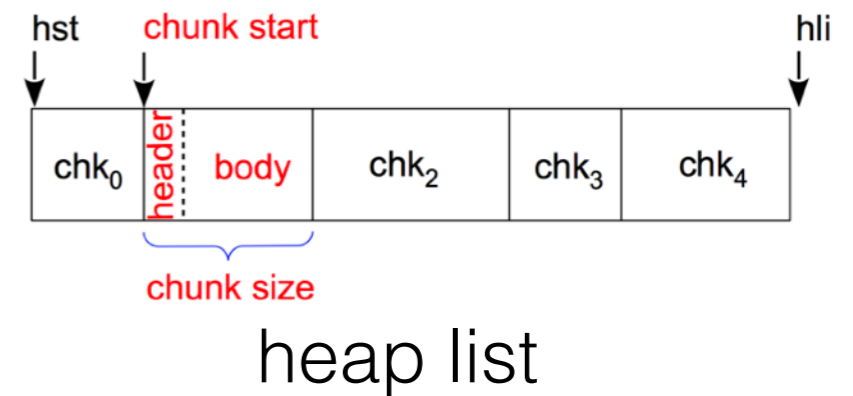
## Properties

- no memory leak
- no overlapped chunks
- adjacent free chunks
- shape of heap/free list: cyclic, acyclic
- sorting of free list: address sorted/unordered



## Properties

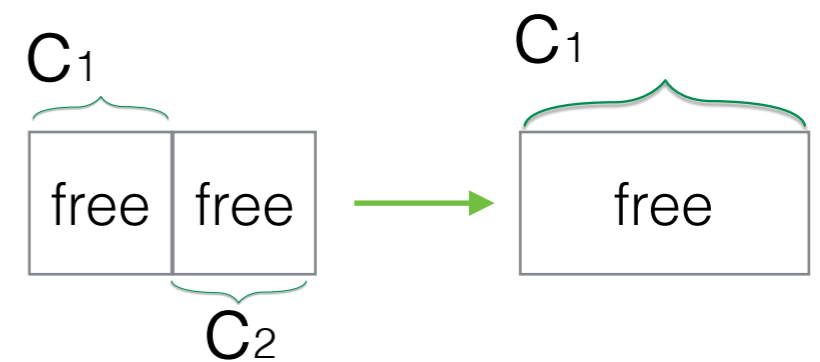
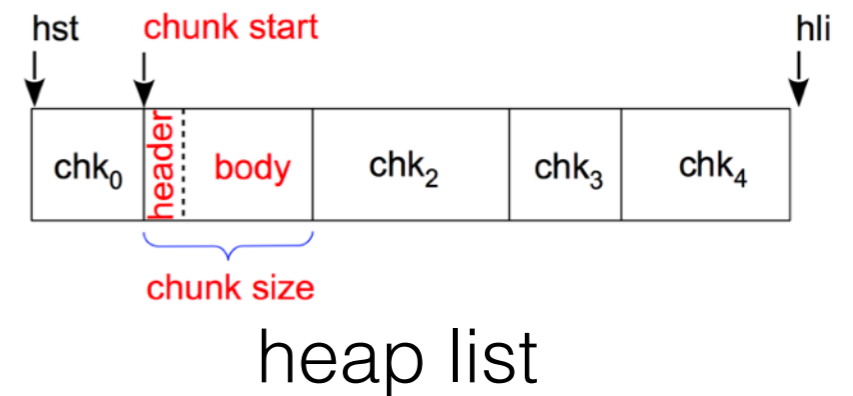
- no memory leak
- no overlapped chunks
- adjacent free chunks
- shape of heap/free list: cyclic, acyclic
- sorting of free list: address sorted/unordered





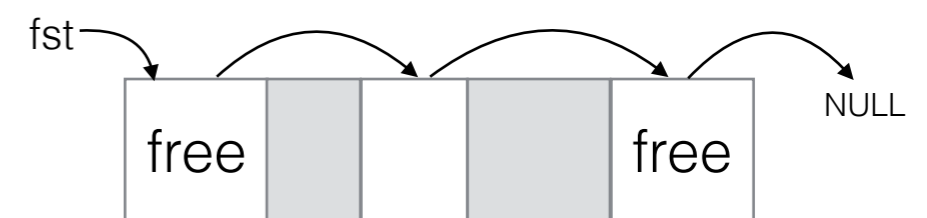
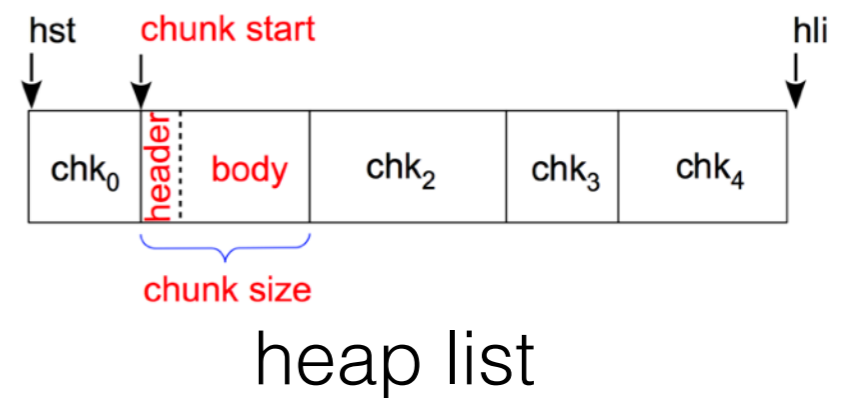
## Properties

- no memory leak
- no overlapped chunks
- adjacent free chunks
- shape of heap/free list: cyclic, acyclic
- sorting of free list: address sorted/unordered



## Properties

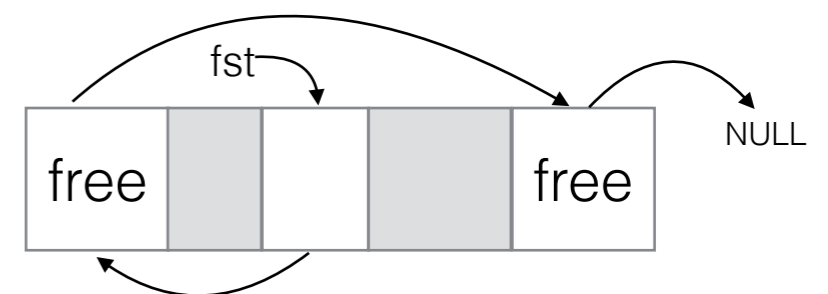
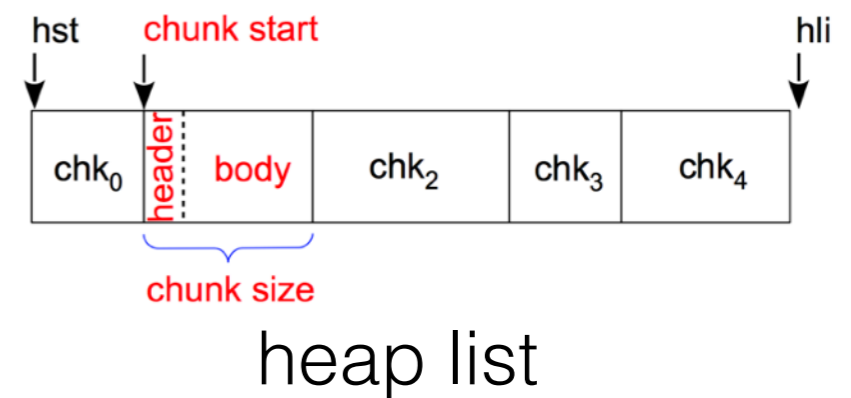
- no memory leak
- no overlapped chunks
- adjacent free chunks
- shape of free list: cyclic, acyclic
- sorting of free list: address sorted/unordered



## Properties

- no memory leak
- no overlapped chunks
- adjacent free chunks
- shape of free list: cyclic, acyclic
- sorting of free list: address sorted/unordered

....



## Each DMA has a set of tactics and properties

1. How to find a way to formalize?

2. How to design an abstract domain?

that apply to a large class of free-list DMAs, e.g.,

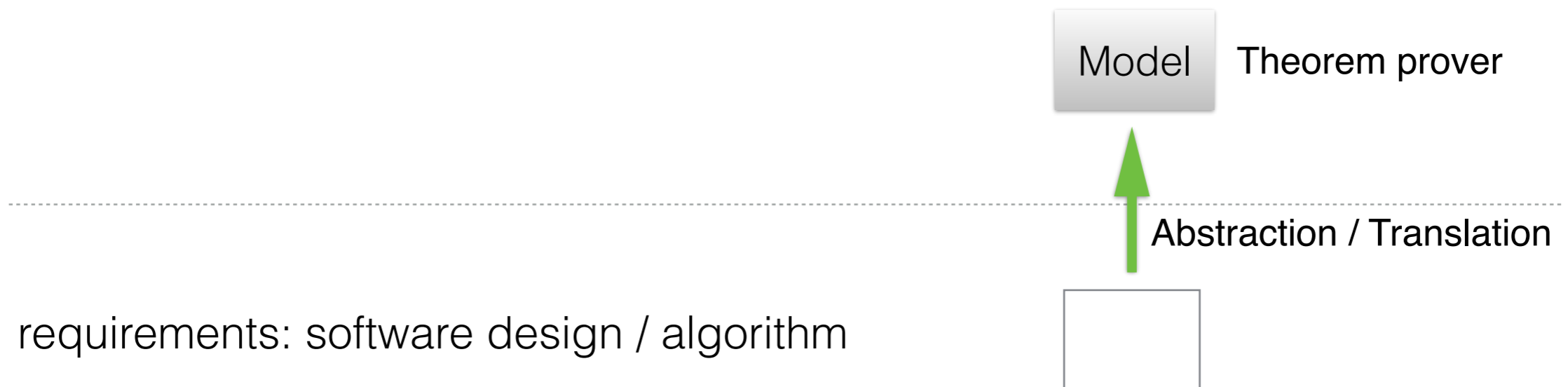
- IBM allocator: no heap-list, first-fit
- Kernighan&Ritchie alloc: eager coalescing, cyclic free-list, address sorted
- Lea's alloc: acyclic doubly linked free-list, unsorted, best-fit

# **PART I:**

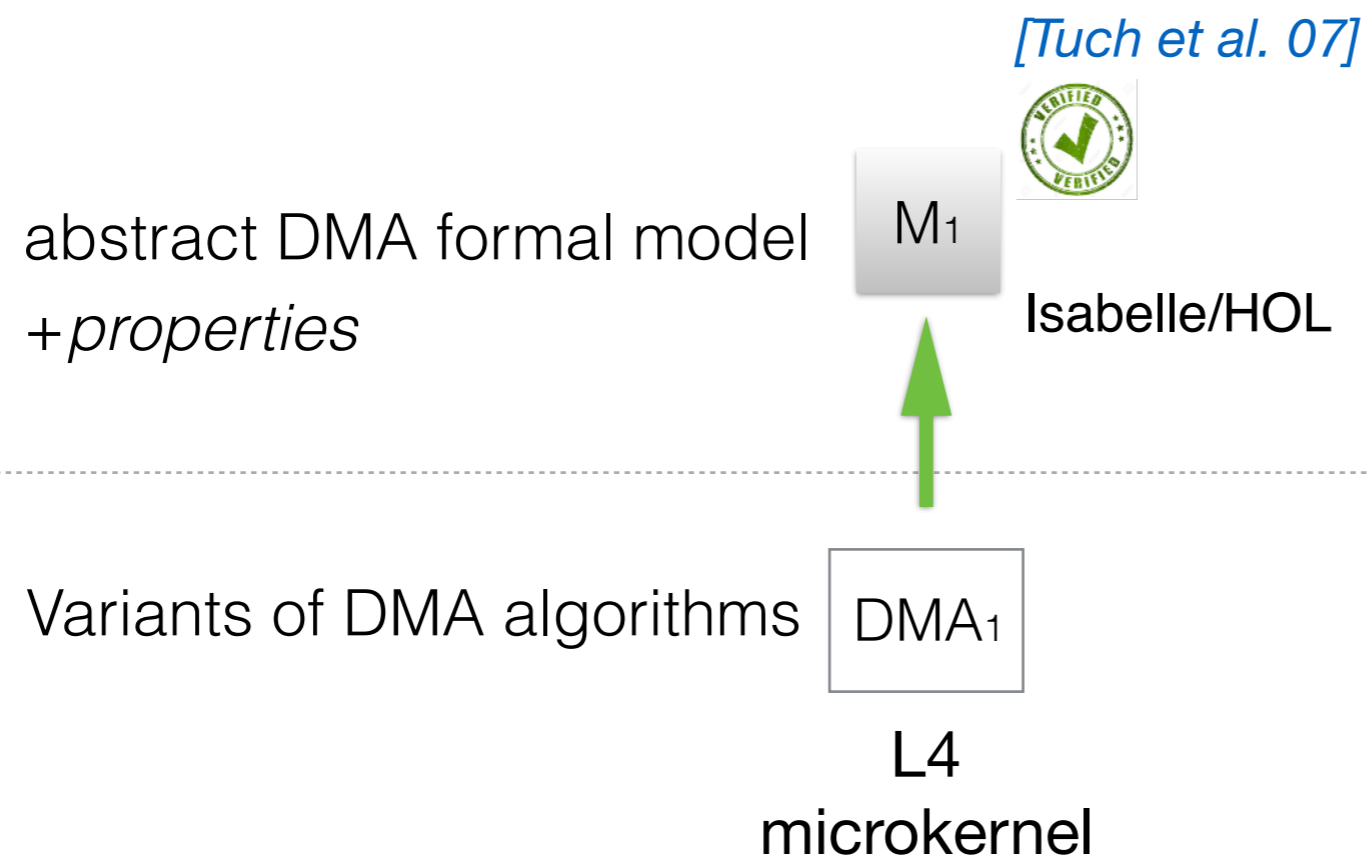
## **Formal modelling based on refinement**

## Procedure of Formalization

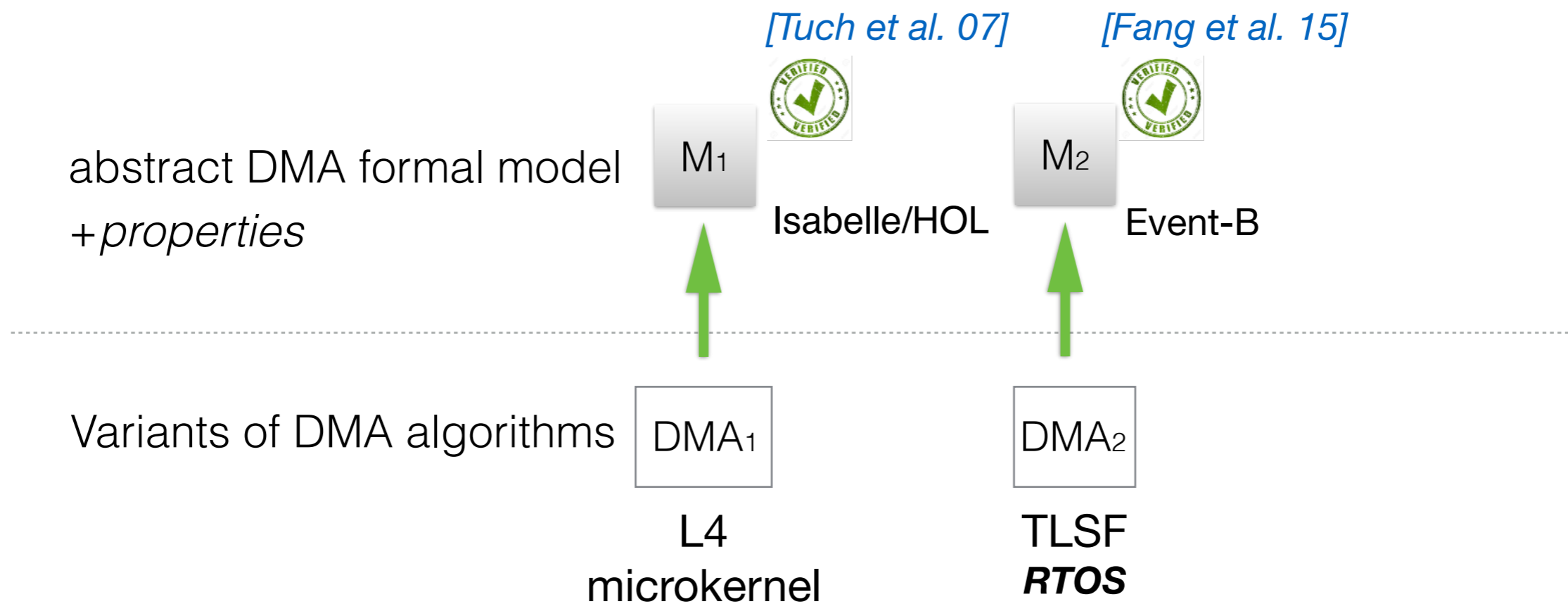
1. construct formal model (abstraction)
2. specify properties
3. auto-generate proof obligations
4. auto / interactive proof



## Different specification languages

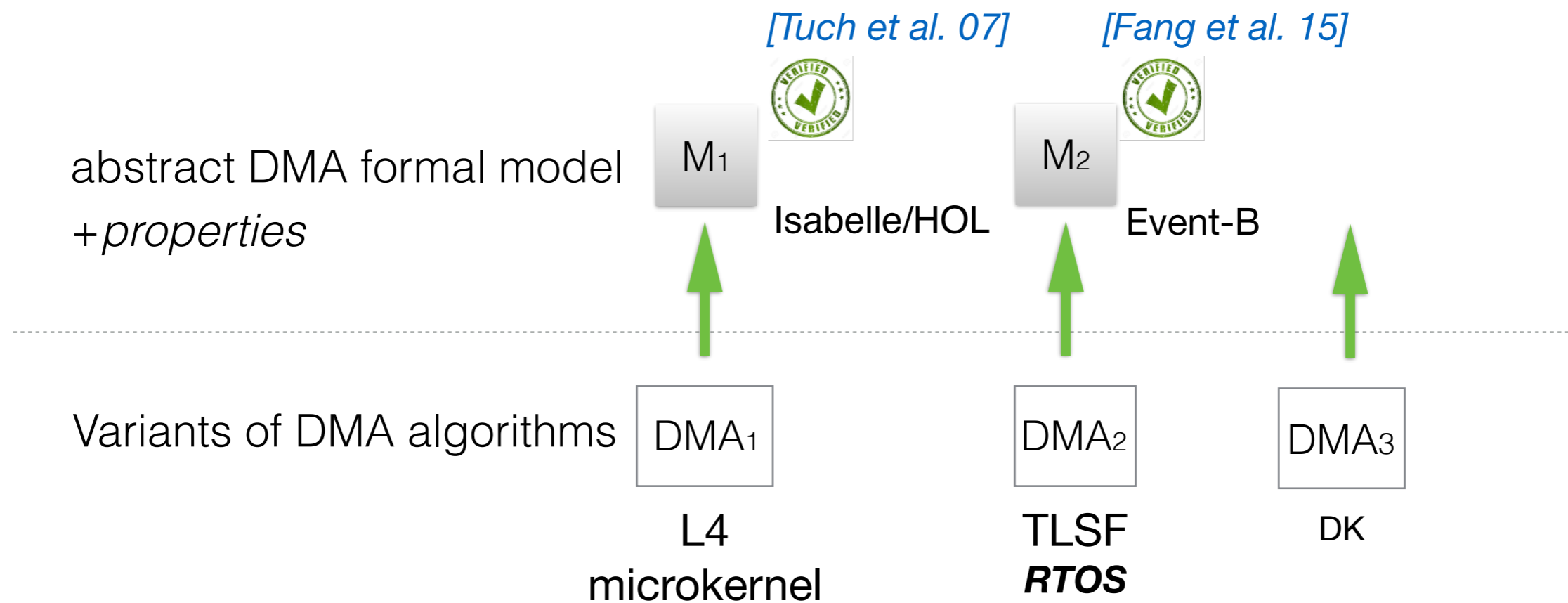


## Different specification languages

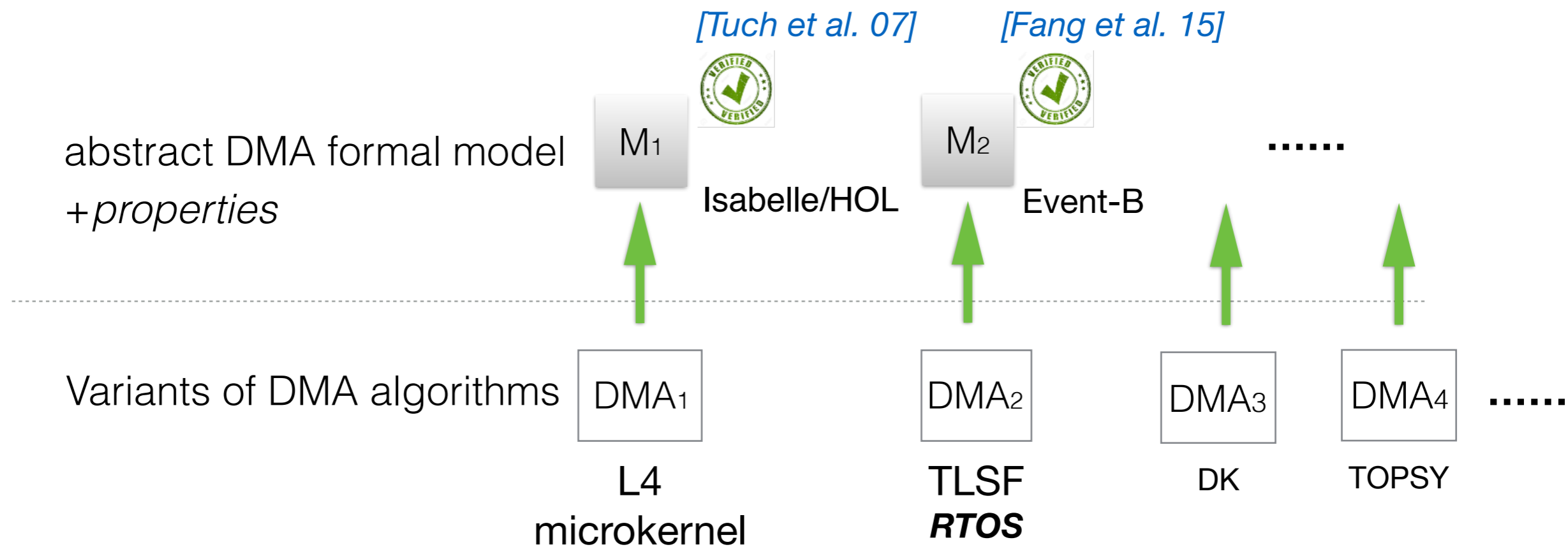




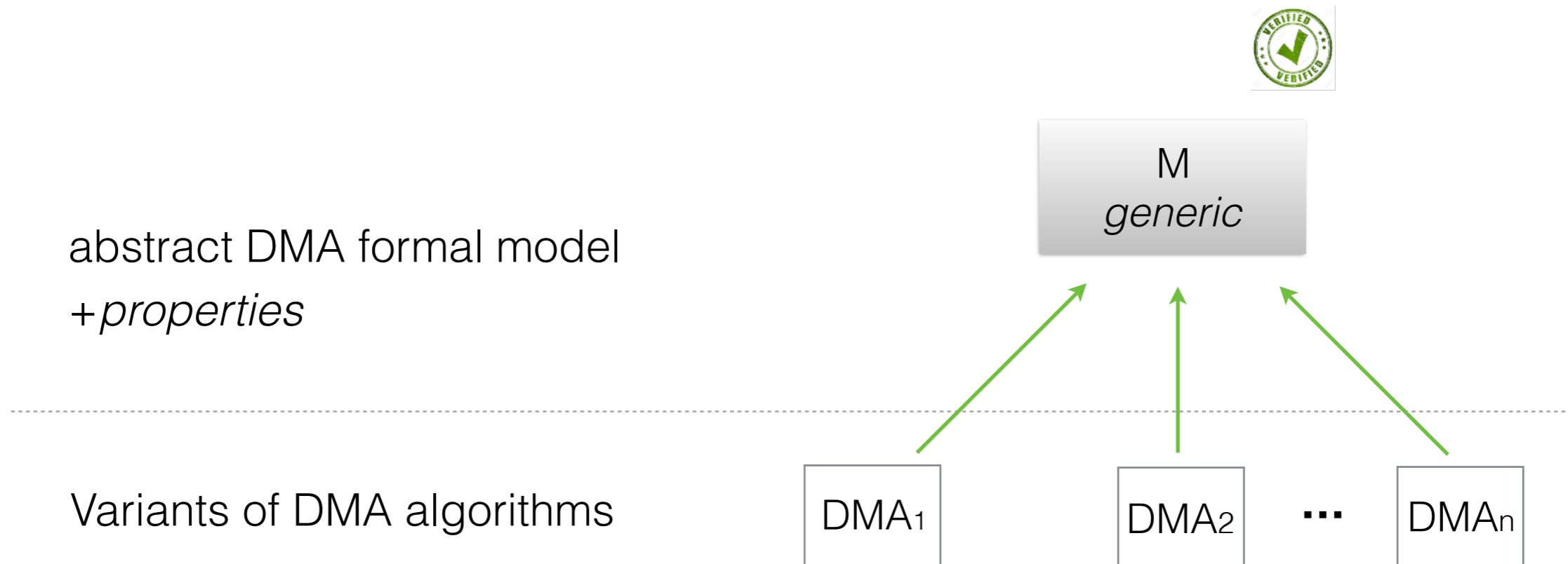
## Different specification languages



## Different specification languages



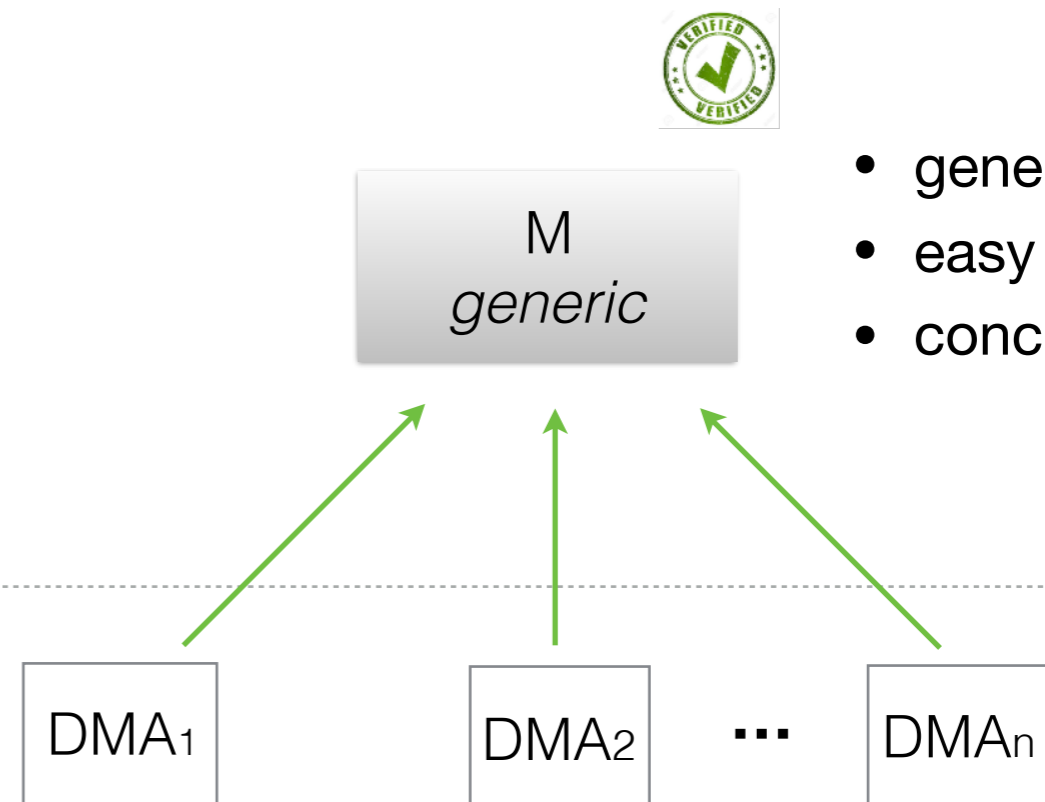
## A generic framework of formalization



## A generic framework of formalization

abstract DMA formal model  
+ *properties*

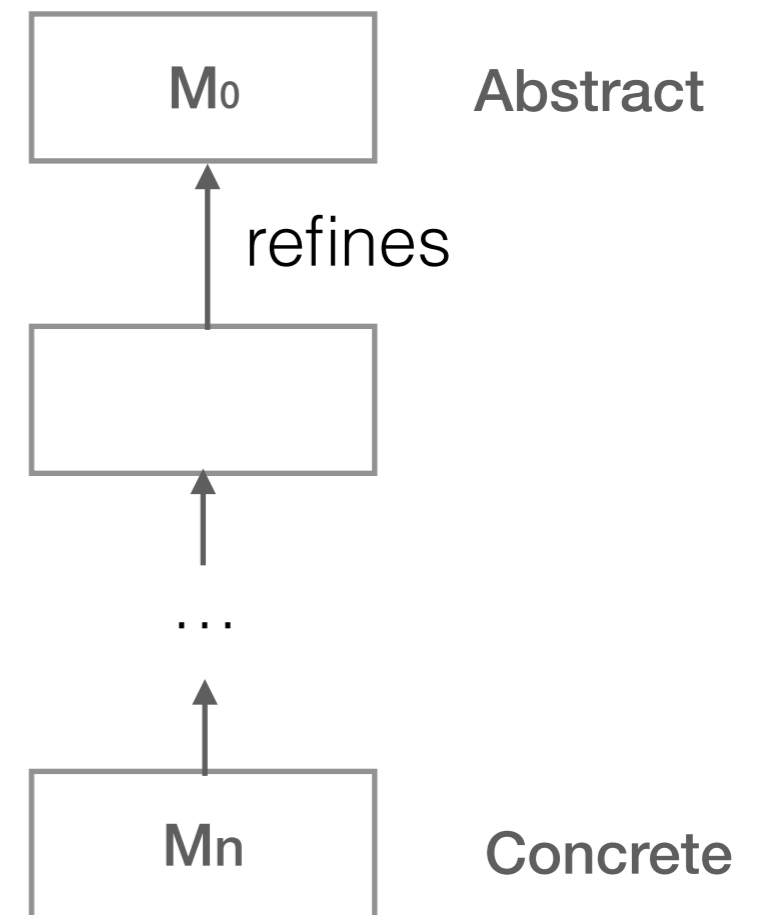
Variants of DMA algorithms



- generic
- easy to extend
- concreteness

## Strategy of formalization

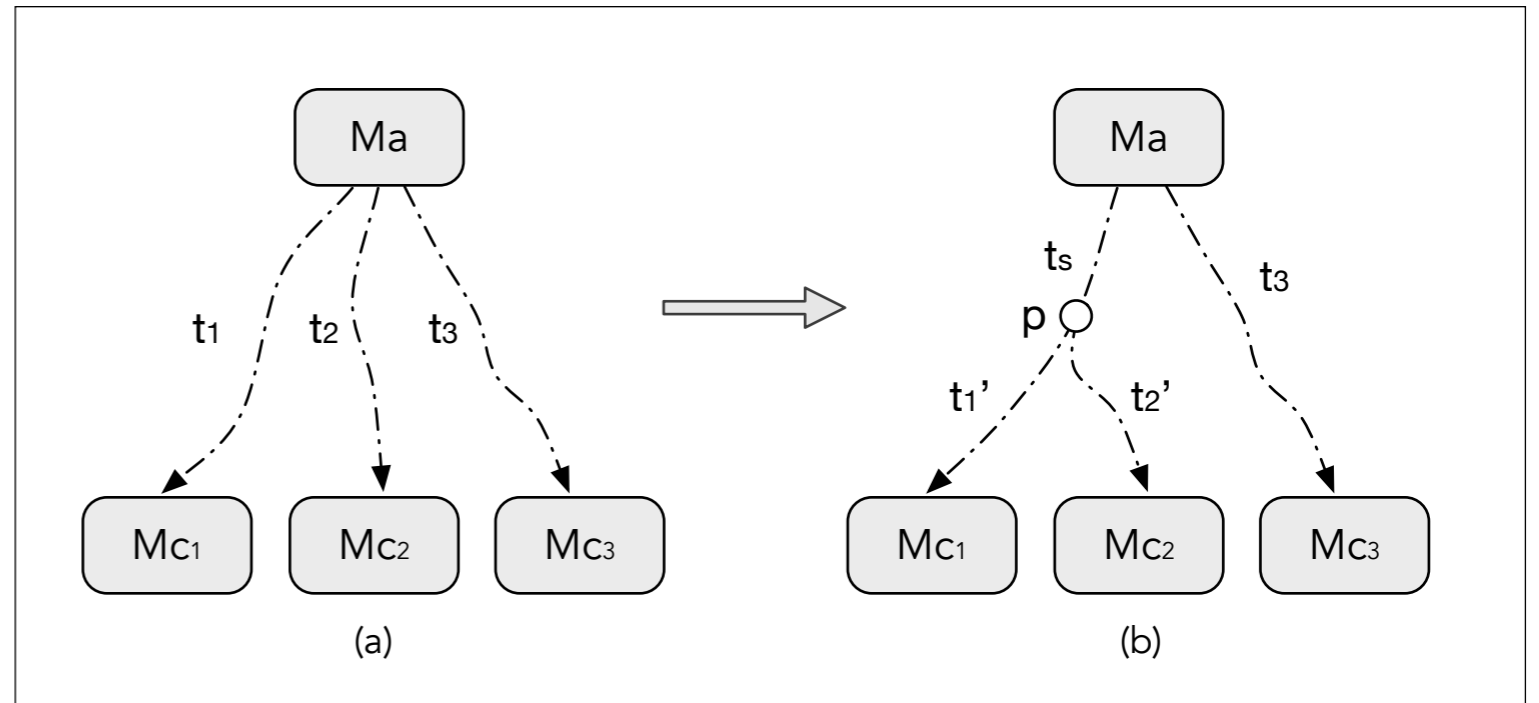
1. Event-based state transition system  
(Event-B modelling notation [\[Tuch et al. 07\]](#))
2. Stepwise refinement (top-down)



TLSF [\[Fang et al. 15\]](#)

## Strategy of formalization

1. Event-base state transition system  
(Event-B modelling notation [\[Tuch et al. 07\]](#))
2. Stepwise refinement (top-down)
3. Modular formalisation



## Formalization steps

1. Most abstract model (common interface)

<i>Case study</i>	<i>heap list</i>			<i>free list</i>		<i>fit</i>
	<i>linked</i>	<i>split</i>	<i>defrg.</i>	<i>shape</i>	<i>sort</i>	
IBM [4]	addr, →	–	–	–	–	F
DL-small [15]	size, →	–	–	–	–	F
TOPSY [9]	size, →	end	lazy	–	–	F
DKFF [14]	size, →	start	early	A, →	yes	F
DKBF [14]	size, →	start	early	A, →	yes	B
LA [3]	size, →	start	early	A, →	yes	F
DKNF [14]	size, →	start	early	A, →	yes	N
KR [12]	size, →	start	early	C, →	yes	N
DKBT [14]	size, ↔	start	early	A, ↔	no	B
DL-list [15]	size, ↔	start	early	A, ↔	no	B
TLSF [19]	size, ↔	start	early	A, ↔	no	B

case studies

```
void  init();
bool  free(void* p);
void* alloc(size_t sz);
void* realloc(void* p, size_t sz);
      interface for clients
```

1

## Formalization steps

1. Most abstract model (common interface)



<i>Case study</i>	<i>heap list</i>			<i>free list</i>		<i>fit</i>
	<i>linked</i>	<i>split</i>	<i>defrg.</i>	<i>shape</i>	<i>sort</i>	
IBM [4]	addr, →	–	–	–	–	F
DL-small [15]	size, →	–	–	–	–	F
TOPSY [9]	size, →	end	lazy	–	–	F
DKFF [14]	size, →	start	early	A, →	yes	F
DKBF [14]	size, →	start	early	A, →	yes	B
LA [3]	size, →	start	early	A, →	yes	F
DKNF [14]	size, →	start	early	A, →	yes	N
KR [12]	size, →	start	early	C, →	yes	N
DKBT [14]	size, ↔	start	early	A, ↔	no	B
DL-list [15]	size, ↔	start	early	A, ↔	no	B
TLSF [19]	size, ↔	start	early	A, ↔	no	B

case studies

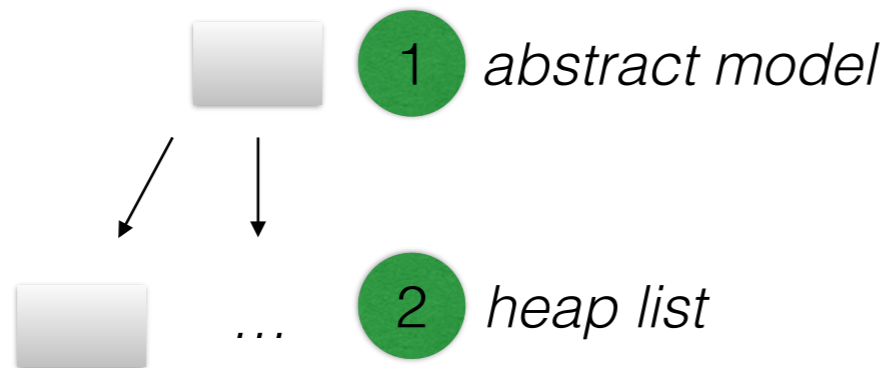
```

void  init();
bool  free(void* p);
void* alloc(size_t sz);
void* realloc(void* p, size_t sz);
        interface for clients
    
```



## Formalization steps

1. Most abstract model (common interface)
2. heap list types



2

Case study	heap list			free list		fit
	linked	split	defrg.	shape	sort	
IBM [4]	addr, →	–	–	–	–	F
DL-small [15]	size, →	–	–	–	–	F
TOPSY [9]	size, →	end	lazy	–	–	F
DKFF [14]	size, →	start	early	A, →	yes	F
DKBF [14]	size, →	start	early	A, →	yes	B
LA [3]	size, →	start	early	A, →	yes	F
DKNF [14]	size, →	start	early	A, →	yes	N
KR [12]	size, →	start	early	C, →	yes	N
DKBT [14]	size, ↔	start	early	A, ↔	no	B
DL-list [15]	size, ↔	start	early	A, ↔	no	B
TLSF [19]	size, ↔	start	early	A, ↔	no	B

case studies

# Formalization of Dynamic Memory Allocators

## Formalization steps

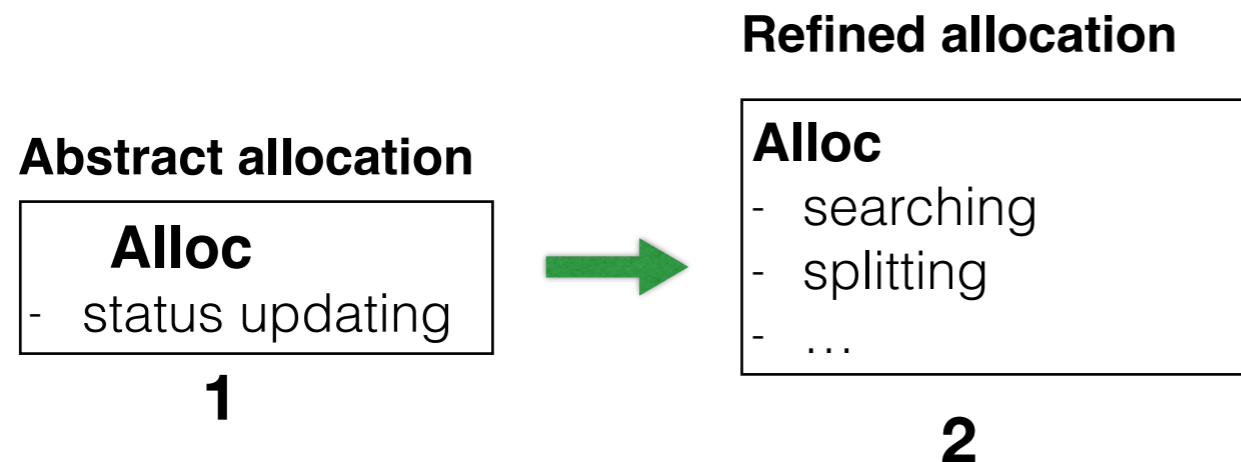
1. Most abstract model (common interface)
2. heap list types



2

Case study	heap list			free list		fit
	linked	split	defrg.	shape	sort	
IBM [4]	addr, →	–	–	–	–	F
DL-small [15]	size, →	–	–	–	–	F
TOPSY [9]	size, →	end	lazy	–	–	F
DKFF [14]	size, →	start	early	A, →	yes	F
DKBF [14]	size, →	start	early	A, →	yes	B
LA [3]	size, →	start	early	A, →	yes	F
DKNF [14]	size, →	start	early	A, →	yes	N
KR [12]	size, →	start	early	C, →	yes	N
DKBT [14]	size, ↔	start	early	A, ↔	no	B
DL-list [15]	size, ↔	start	early	A, ↔	no	B
TLSF [19]	size, ↔	start	early	A, ↔	no	B

case studies



## Formalization steps

1. Most abstract model (common interface)
2. heap list types
3. Free list types



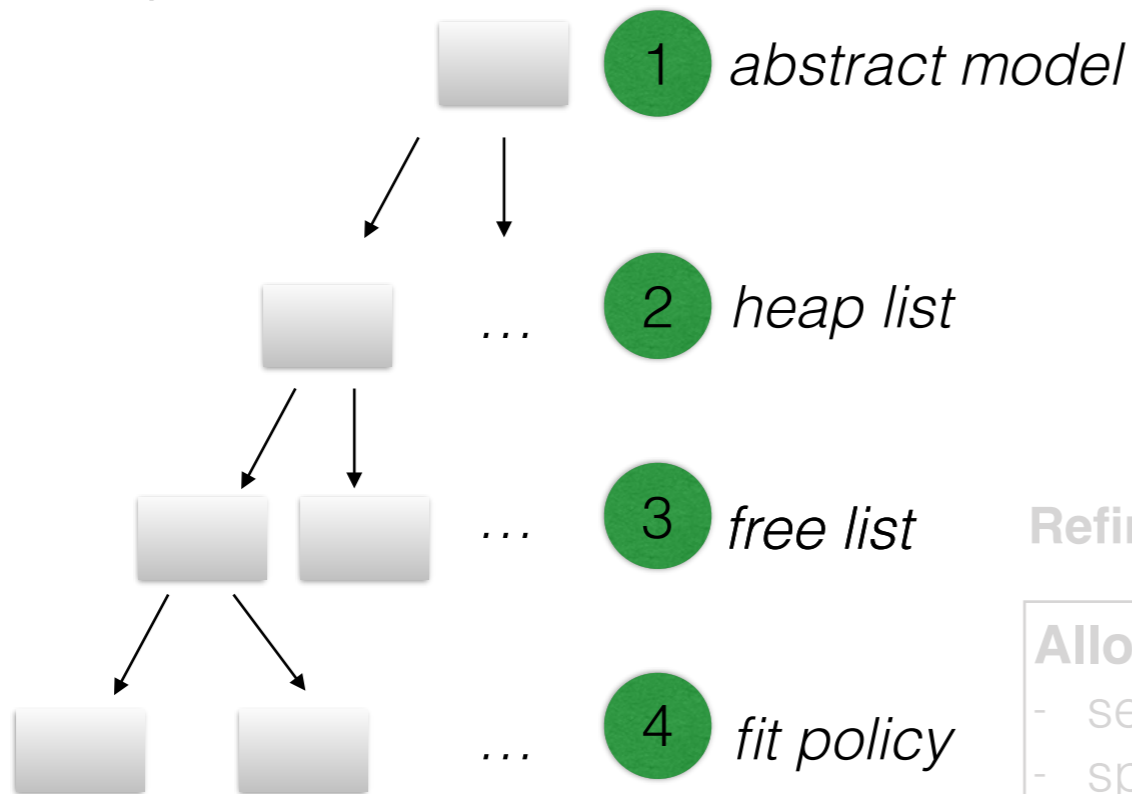
Case study	2 heap list			3 free list		fit
	linked	split	defrg.	shape	sort	
IBM [4]	addr, →	–	–	–	–	F
DL-small [15]	size, →	–	–	–	–	F
TOPSY [9]	size, →	end	lazy	–	–	F
DKFF [14]	size, →	start	early	A, →	yes	F
DKBF [14]	size, →	start	early	A, →	yes	B
LA [3]	size, →	start	early	A, →	yes	F
DKNF [14]	size, →	start	early	A, →	yes	N
KR [12]	size, →	start	early	C, →	yes	N
DKBT [14]	size, ↔	start	early	A, ↔	no	B
DL-list [15]	size, ↔	start	early	A, ↔	no	B
TLSF [19]	size, ↔	start	early	A, ↔	no	B

case studies

# Formalization of Dynamic Memory Allocators

## Formalization steps

1. Most abstract model (common interface)
2. heap list types
3. Free list types
4. Fit policies



Case study	2 heap list			3 free list		4 fit
	linked	split	defrg.	shape	sort	fit
IBM [4]	addr, →	–	–	–	–	F
DL-small [15]	size, →	–	–	–	–	F
TOPSY [9]	size, →	end	lazy	–	–	F
DKFF [14]	size, →	start	early	A, →	yes	F
DKBF [14]	size, →	start	early	A, →	yes	B
LA [3]	size, →	start	early	A, →	yes	F
DKNF [14]	size, →	start	early	A, →	yes	N
KR [12]	size, →	start	early	C, →	yes	N
DKBT [14]	size, ↔	start	early	A, ↔	no	B
DL-list [15]	size, ↔	start	early	A, ↔	no	B
TLSF [19]	size, ↔	start	early	A, ↔	no	B

case studies

Refined allocation

Alloc  
 - searching  
 - splitting  
 - ...

3



Refined allocation

Alloc  
 - **searching**  
 - splitting  
 - ...

4

## Hierarchy of models

1. Extensible hierarchy
2. Clear refinement principles
3. Covers diverse DMAs

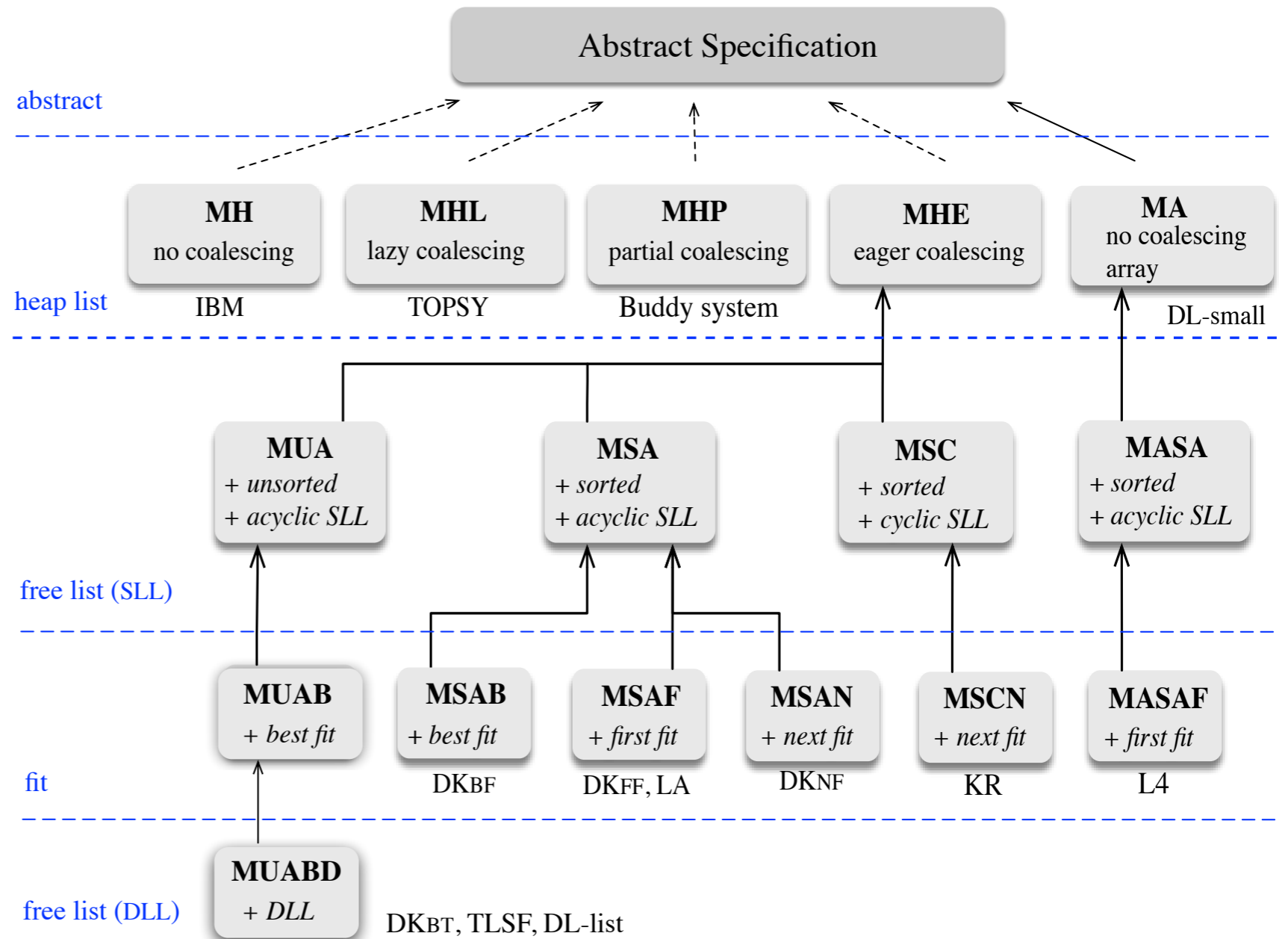


fig. A partial view of the hierarchy of models and the case studies it covers

## Hierarchy of models

### Theorem: Models consistency

*Each model is proved.*

### Theorem: Refinement correctness

*The refinement relations between models are valid.*

Models	LOC	Proof obligations	Automatically discharged	Interactive proofs
MH	114	39	27(69%)	12(31%)
MHL	176	8	8(100%)	0(0%)
MHE	183	82	58(70%)	24(30%)
MHP	383	143	140(98%)	3(2%)
MA	168	20	20(100%)	0(0%)

Models	LOC	Proof obligations	Automatically discharged	Interactive proofs
MUA	219	36	30(83%)	6(17%)
MSA	197	41	27(66%)	14(34%)
MSC	205	37	30(82%)	7(18%)
MSAB	202	2	2(100%)	0(0%)
MSAF	202	2	2(100%)	0(0%)
MSAN	200	2	2(100%)	0(0%)
MSCN	221	40	36(88%)	4(12%)
MUABD	241	9	9(100%)	0(0%)
MASA	182	21	18(85.6%)	3(14.4%)
MASF	186	2	2(100%)	0(0%)

*fig. Statistics on proofs*

*ISMM'17, SCIS'17 (journal)*

# PART II:

## Algorithmic verification by static analysis

## Dynamic Memory Allocators implementation

- Small but critical piece of code
- Variety of policies and techniques [*Wilson et al 95*]
- Combines low-level (**pointer arithmetics**, **system calls**) and high level (**dynamic data structures**) code
- Complex properties (invariants) on both levels

## Properties

- Spatial properties for structure of disjoint memory
- Intricate numerical properties for data, e.g., memory's size and content
- Different levels of abstractions (heap and free lists)

## Aim: automatically infer DMA properties

- Logical abstract domain on Separation Logic [*O'Hearn, Reynolds, Yang'01*]
- Combination of domains
- Hierarchical abstraction



## Dynamic Memory Allocators implementation

- Small but critical piece of code
- Variety of policies and techniques [*Wilson et al 95*]
- Combines low-level (**pointer arithmetics**, *system calls*) and high level (*dynamic data structures*) code
- Complex properties (invariants) on both levels

## Properties

- Spatial properties for structure of disjoint memory
- Intricate numerical properties for data, e.g., memory's size and content
- Different levels of abstractions (heap and free lists)

## Aim: automatically infer DMA properties

- Logical abstract domain on Separation Logic [*O'Hearn, Reynolds, Yang'01*]
- Combination of domains
- Hierarchical abstraction

## Dynamic Memory Allocators implementation

- Small but critical piece of code
- Variety of policies and techniques [*Wilson et al 95*]
- Combines low-level (**pointer arithmetics**, *system calls*) and high level (*dynamic data structures*) code
- Complex properties (invariants) on both levels

## Properties

- Spatial properties for structure of disjoint memory
- Intricate numerical properties for data, e.g., memory's size and content
- Different levels of abstractions (heap and free lists)

## Aim: automatically infer DMA properties

- Logical abstract domain on Separation Logic [*O'Hearn, Reynolds, Yang'01*]
- Combination of domains
- Hierarchical abstraction

## Static analysis based on abstract interpretation [Cousot 77,79]

- Design **abstract domains** to capture properties
- Lattice operators  $(S, \sqsubseteq, \sqcup, \sqcap, \perp, \top)$
- Termination or acceleration of iteration (widening operation)
- Abstract transformers (assignments, condition tests, ...)

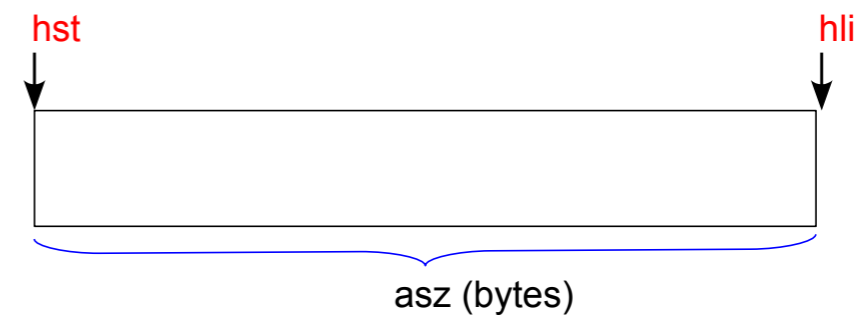
## Logic-based shape analysis

- Abstract elements uses formulae from logic [Distefano et al 06]
- Entailment represents partial order  $(\phi \Rightarrow \psi \Leftrightarrow \phi \sqsubseteq \psi)$

## Separation logic with inductive predicates

- Atomic predicates (raw memory region)
- Inductively-defined predicates (disjoint memory blocks)
- Separating conjunction  $\phi \star \psi$

## Raw memory region



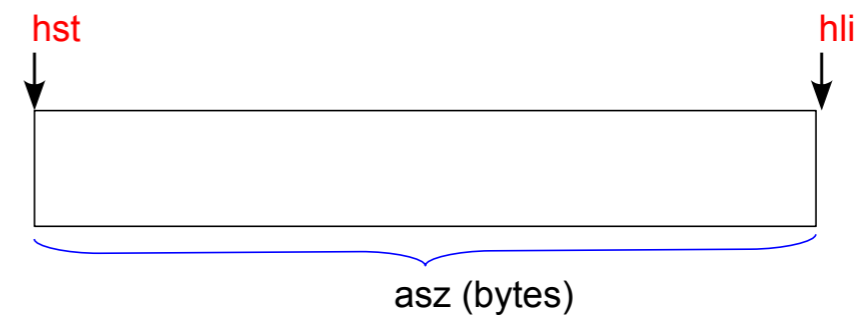
```
void minit(int asz)
{ ... hst=sbrk(asz); hli=sbrk(0); ... }
```

# Memory Abstraction with Inductive Segments

## Separation logic with inductive predicates

- Atomic predicates (raw memory region)
- Inductively-defined predicates (disjoint memory blocks)
- Separating conjunction  $\phi \star \psi$

## Raw memory region



```
void minit(int asz)
{ ... hst=sbrk(asz); hli=sbrk(0); ... }
```

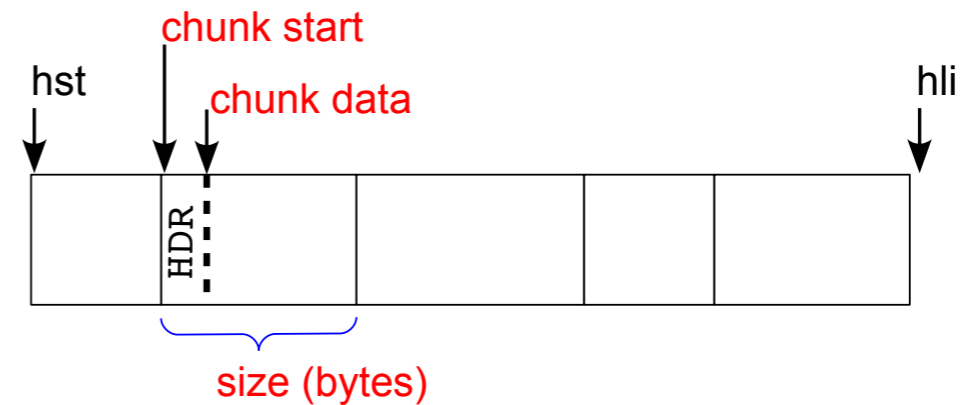
$$\text{blk}(hst; hli) \wedge hli - hst = asz$$

[Calcagno et al' 06]

# Memory Abstraction with Inductive Segments

## Separation logic with inductive predicates

- Atomic predicates (raw memory region)
- Inductively-defined predicates (disjoint memory blocks)
- Separating conjunction  $\phi \star \psi$



## Chunk region

```
typedef struct hdr_s {  
    size_t size;  
    bool isfree;  
    struct hdr_s *fnx; } HDR;
```

$\text{chk}(hst; a_1) \star \text{chk}(a_1; a_2) \star \dots \star \text{chk}(a_n; hli)$

$\text{chk}(X; Y) \triangleq \exists Z. \text{chd}(X; Z) \star \text{blk}(Z; Y) \wedge Y - X = X.size$

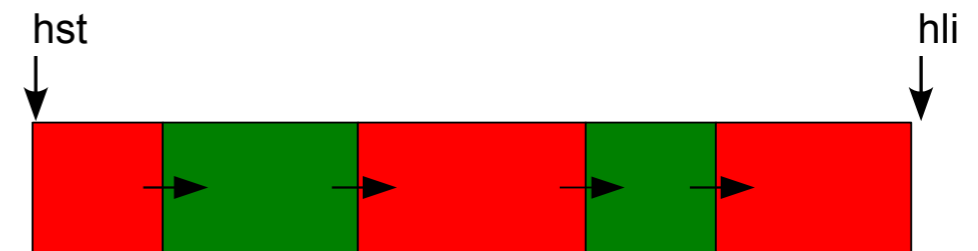
[O'Hearn et al'01, Calcagno et al'06]

$\text{chd}(X; Y) \triangleq \text{blk}(X; Y) \wedge Y - X = |\text{HDR}| \wedge X \equiv_{|\text{HDR}|} 0$

## Separation logic with inductive predicates

- Atomic predicates (raw memory region)
- Inductively-defined predicates (disjoint memory blocks)
- Separating conjunction  $\phi \star \psi$

Heap list

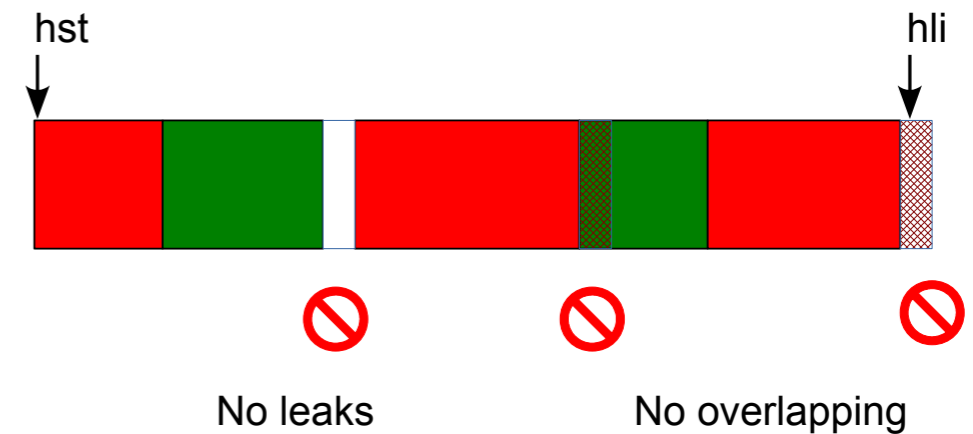


# Memory Abstraction with Inductive Segments

## Separation logic with inductive predicates

- Atomic predicates (raw memory region)
- Inductively-defined predicates (disjoint memory blocks)
- Separating conjunction  $\phi \star \psi$

## Heap list



$$\boxed{\text{hls}(X; Y)[W]} \triangleq \text{emp} \wedge X = Y \wedge W = \epsilon$$

$$\vee \exists Z, W' \cdot \text{chk}(X; Z) \star \boxed{\text{hls}(Z; Y)[W']} \wedge W = [X] \cdot W'$$

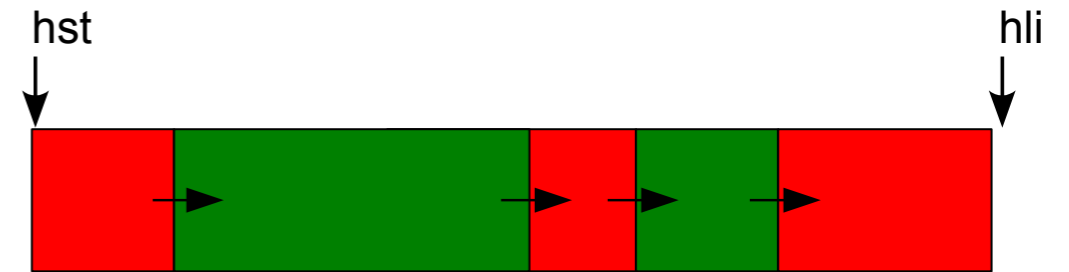


# Memory Abstraction with Inductive Segments

## Separation logic with inductive predicates

- Atomic predicates (raw memory region)
- Inductively-defined predicates (disjoint memory blocks)
- Separating conjunction  $\phi \star \psi$

## Heap list with coalescing policy



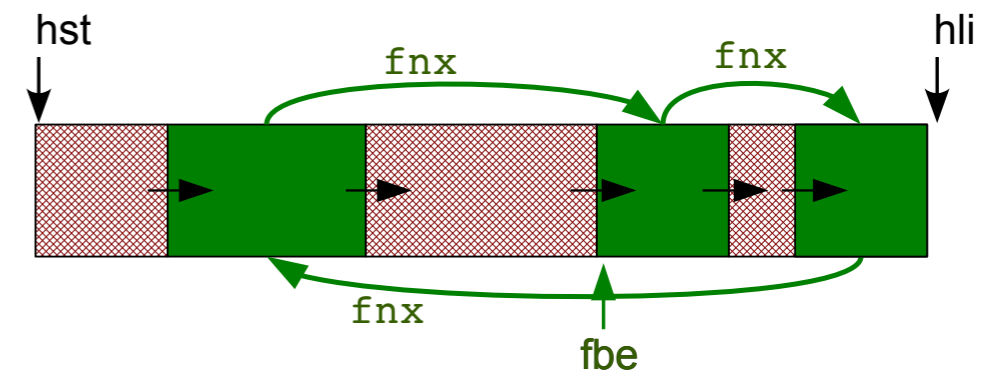
$$\boxed{\text{hlsc}(X, f_x; Y, f_y)[W]} \triangleq \text{emp} \wedge X = Y \wedge W = \epsilon \wedge 0 \leq f_x + f_y \leq 1$$
$$\vee (\exists Z, W', f \cdot \text{chk}(X; Z) \star \boxed{\text{hlsc}(Z, f; Y, f_y)[W']} \wedge W = [X]. W'$$
$$\wedge f = X. \mathbf{isfree} \wedge 0 \leq X. \mathbf{isfree} + f_y \leq 1)$$

# Memory Abstraction with Inductive Segments

## Separation logic with inductive predicates

- Atomic predicates (raw memory region)
- Inductively-defined predicates (disjoint memory blocks)
- Separating conjunction  $\phi \star \psi$

## Free list

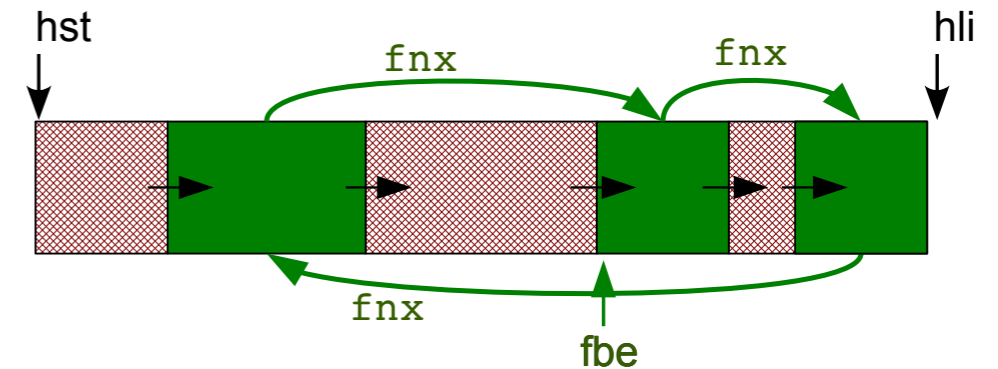


# Memory Abstraction with Inductive Segments

## Separation logic with inductive predicates

- Atomic predicates (raw memory region)
- Inductively-defined predicates (disjoint memory blocks)
- Separating conjunction  $\phi \star \psi$

## Free list



$$\boxed{\text{fls}(X; Y)[W]} \triangleq \text{emp} \wedge X = Y \wedge W = \epsilon$$

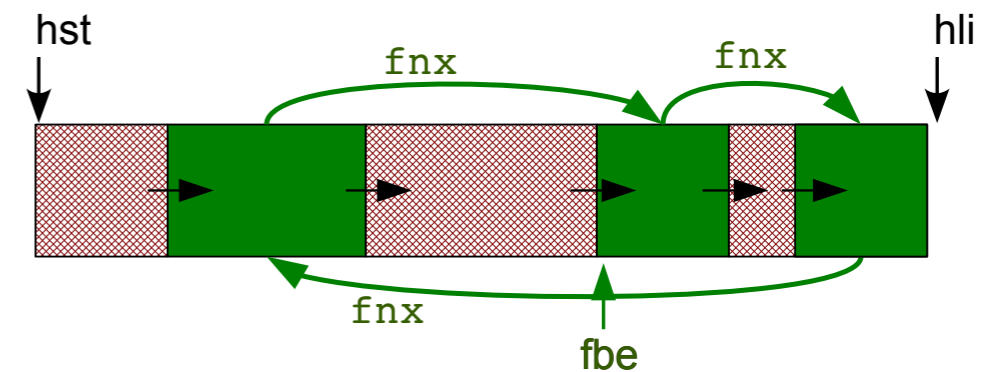
$$\vee \exists Z, W' \cdot \text{fck}(X; Z) \star \boxed{\text{fls}(Z; Y)[W']} \wedge W = [X] \cdot W' \wedge X \neq Y$$

# Memory Abstraction with Inductive Segments

## Separation logic with inductive predicates

- Atomic predicates (raw memory region)
- Inductively-defined predicates (disjoint memory blocks)
- Separating conjunction  $\phi \star \psi$

## Free list



$$\text{fls}(X; Y)[W] \triangleq \text{emp} \wedge X = Y \wedge W = \epsilon$$

$$\vee \exists Z, W' \cdot \text{fck}(X; Z) \star \text{fls}(Z; Y)[W'] \wedge W = [X] \cdot W' \wedge X \neq Y$$

$$\text{hls}(hst; hli)[W_H] \boxed{\ni} \exists Z, W' \cdot \text{fck}(fbe; Z) \star \text{fls}(Z; fbe)[W'] \wedge W_F = [fbe] \cdot W'$$

**combination symbol**

## Spatial part of SLMA

$$\Sigma_H ::= \text{emp} \mid X \mapsto x \mid \text{blk}(X; Y) \mid \text{chd}(X; Y) \mid \text{chk}(X; Y) \mid \Sigma_H \star \Sigma_H \mid$$
$$\text{hls}(X; Y)[W] \mid \text{hlsc}(X, i; Y, j)[W]$$
$$\Sigma_F ::= \text{emp} \mid \text{fck}(X; Y) \mid \text{fls}(X; Y)[W] \mid \text{flso}(X, i; Y, j) \mid \Sigma_F \star \Sigma_F$$

## Hierarchical conjunction of spatial formulas

$$\Sigma ::= \Sigma_H \ni \Sigma_F$$

## Spatial part of SLMA

$$\begin{aligned}\Sigma_H &::= \text{emp} \mid X \mapsto x \mid \text{blk}(X; Y) \mid \text{chd}(X; Y) \mid \text{chk}(X; Y) \mid \Sigma_H \star \Sigma_H \mid \\ &\quad \text{hls}(X; Y)[W] \mid \text{hlsc}(X, i; Y, j)[W] \\ \Sigma_F &::= \text{emp} \mid \text{fck}(X; Y) \mid \text{fls}(X; Y)[W] \mid \text{flso}(X, i; Y, j) \mid \Sigma_F \star \Sigma_F\end{aligned}$$

## Hierarchical conjunction of spatial formulas

$$\Sigma ::= \Sigma_H \ni \Sigma_F$$

By semantics:

$\Sigma_H$ : sequence of addresses in the heap list

$\Sigma_F$ : sequence of addresses in the free list

To specify overlapping of memory region, then  $\ni$  requires

$$\forall X \in W_F \Rightarrow X \in W_H$$

## Spatial part of SLMA

$$\begin{aligned}\Sigma_H &::= \text{emp} \mid X \mapsto x \mid \text{blk}(X; Y) \mid \text{chd}(X; Y) \mid \text{chk}(X; Y) \mid \Sigma_H \star \Sigma_H \mid \\ &\quad \text{hls}(X; Y)[W] \mid \text{hlsc}(X, i; Y, j)[W] \\ \Sigma_F &::= \text{emp} \mid \text{fck}(X; Y) \mid \text{fls}(X; Y)[W] \mid \text{flso}(X, i; Y, j) \mid \Sigma_F \star \Sigma_F\end{aligned}$$

## Hierarchical conjunction of spatial formulas

$$\Sigma ::= \Sigma_H \ni \Sigma_F$$

## Pure formulas as location (sequence) and numerical constrains

$$\begin{aligned}\Pi &::= A \mid \Pi_{\forall} \mid \Pi_W & \Pi_{\forall} &::= \forall X \in W \cdot A_G \Rightarrow A_U \mid \Pi_{\forall} \wedge \Pi_{\forall} \\ L &::= X \mid X.\mathbf{fnx} & \Pi_W &::= W_H = w \wedge W_F = w \\ A &::= L - L\#t \mid \Delta \mid A \wedge A & w &::= \epsilon \mid [x] \mid W \mid w \cdot w\end{aligned}$$

## SLMA captures the complex invariants of DMA

**First-fit:** (choice of a free chunk of *req* size)

$$\begin{aligned} \text{hls}(hst; hli)[W_H] &\ni \text{fls}(fbe; Y_2)[W_1] \star \text{fck}(Y_2; Y_3) \star \text{fls}(Y_3; \text{nil})[W_2] \\ &\wedge Y_2.\mathbf{size} \geq req \wedge \forall X \in W_1 \cdot X.\mathbf{size} < req \\ &\wedge W_F = W_1 \cdot [Y_2] \cdot W_2 \end{aligned}$$

**Best-fit:**

$$\begin{aligned} \text{hls}(hst; hli)[W_H] &\ni \text{fls}(fbe; Y_2)[W_1] \star \text{fck}(Y_2; Y_3) \star \text{fls}(Y_3; \text{nil})[W_2] \\ &\wedge Y_2.\mathbf{size} \geq req \wedge \forall X \in W_1, W_2 \cdot X.\mathbf{size} \geq req \Rightarrow X.\mathbf{size} > Y_2.\mathbf{size} \\ &\wedge W_F = W_1 \cdot [Y_2] \cdot W_2 \end{aligned}$$



## Satisfiability problem for SLMA is undecidable

- Decidable pure part of SLMA for integer constraints  $\Pi_N$
- Undecidable array logic fragment  $\Pi_W$

## Entailment checking for SLMA is undecidable

- Undecidable entire pure part of SLMA (sequence constraints)
- Undecidable spatial part (fragment of SL with inductive predicates and data constraints)

## Abstract domain

1. Numerical domain *[Apron]* (polyhedra) - **arithmetic constraints**  $\Pi_N \in \mathbb{N}^\#$

$$\mathcal{N}^\# = (\mathbb{N}^\#, \sqsubseteq^\mathbb{N}, \sqcup^\mathbb{N}, \sqcap^\mathbb{N}, \perp^\mathbb{N}, \top^\mathbb{N}), \quad \nabla^\mathbb{N}$$

## Abstract domain

1. Numerical domain *[Apron]* (polyhedra) - **arithmetic constraints**  $\Pi_N \in \mathbb{N}^\#$

$$\mathcal{N}^\# = (\mathbb{N}^\#, \sqsubseteq^\mathbb{N}, \sqcup^\mathbb{N}, \sqcap^\mathbb{N}, \perp^\mathbb{N}, \top^\mathbb{N}), \quad \nabla^\mathbb{N}$$

2. Data words domain *[Bouajjani et al'11]* - **sequence constrains**  $\Pi_W \in \mathbb{W}^\#$

$$\mathcal{D}^\# = (\mathbb{W}^\#, \sqsubseteq^\mathbb{W}, \sqcup^\mathbb{W}, \sqcap^\mathbb{W}, \perp^\mathbb{W}, \top^\mathbb{W}), \quad \nabla^\mathbb{W}$$

## Abstract domain

### 3. Shape abstract domain - **spatial part** $\Sigma$

$$\mathcal{G}^\# = (\mathbb{G}^\#, \sqsubseteq^\mathbb{G}, \sqcup^\mathbb{G}, \sqcap^\mathbb{G}, \perp^\mathbb{G}, \top^\mathbb{G})$$

$G \in \mathbb{G}^\#$ : Representative of the Gaifman graph of  $\Sigma$

## Abstract domain

### 3. Shape abstract domain - **spatial part** $\Sigma$

$$\mathcal{G}^\# = (\mathbb{G}^\#, \sqsubseteq^\mathbb{G}, \sqcup^\mathbb{G}, \sqcap^\mathbb{G}, \perp^\mathbb{G}, \top^\mathbb{G})$$

### 4. Shape-value domain (cofibered product of $\mathcal{G}^\#, \mathcal{N}^\#, \mathcal{D}^\#$ )

$$M^\# \triangleq \mathbb{G}^\# \rightrightarrows (\mathbb{N}^\# \times \mathbb{W}^\#)$$

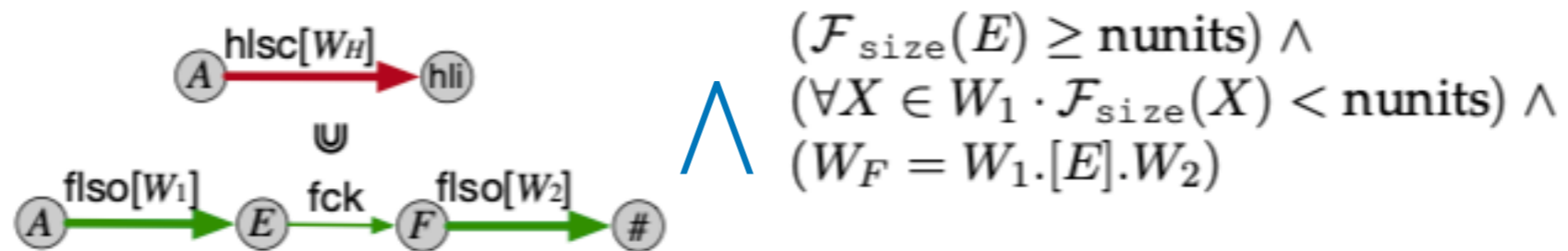
## Abstract domain

### 3. Shape abstract domain - **spatial part** $\Sigma$

$$\mathcal{G}^\# = (\mathbb{G}^\#, \sqsubseteq^\mathbb{G}, \sqcup^\mathbb{G}, \sqcap^\mathbb{G}, \perp^\mathbb{G}, \top^\mathbb{G})$$

### 4. Shape-value domain (cofibered product of $\mathcal{G}^\#, \mathcal{N}^\#, \mathcal{D}^\#$ )

$$M^\# \triangleq \mathbb{G}^\# \rightrightarrows (\mathbb{N}^\# \times \mathbb{W}^\#)$$



## Abstract domain

### 3. Shape abstract domain - **spatial part** $\Sigma$

$$\mathcal{G}^\# = (\mathbb{G}^\#, \sqsubseteq^\mathbb{G}, \sqcup^\mathbb{G}, \cap^\mathbb{G}, \perp^\mathbb{G}, \top^\mathbb{G})$$

### 4. Shape-value domain (cofibered product of $\mathcal{G}^\#, \mathcal{N}^\#, \mathcal{D}^\#$ )

$$\mathbb{M}^\# \triangleq \mathbb{G}^\# \rightrightarrows (\mathbb{N}^\# \times \mathbb{W}^\#)$$

### 5. Disjunctive abstraction $\mathcal{A}^\#$

$$A^\# \triangleq \mathcal{P}(\mathbb{M}^\#), \quad \gamma_A(A^\#) \triangleq \bigcup \{\gamma_M(m^\#) \mid m^\# \in A^\#\}$$

## Lattice operations: ordering and join

$$A^\# = (G, \Pi_N, \Pi_W) \in \mathbb{M}^\#, \quad B^\# = (G', \Pi'_N, \Pi'_W) \in \mathbb{M}^\#$$

$$A^\# \sqsubseteq^{\mathbb{M}} B^\# \quad \text{i.e.} \quad G \sim_\sigma G' \quad \wedge \quad (\Pi_N \sqsubseteq^{\mathbb{N}} \Pi'_N \wedge \Pi_W \sqsubseteq^{\mathbb{W}} \Pi'_W)$$

$$A^\# \sqcup^{\mathbb{M}} B^\# \quad \text{i.e.} \quad G_\sigma \wedge (\Pi_N \sqcup^{\mathbb{N}} \Pi'_N) \wedge (\Pi_W \sqcup^{\mathbb{W}} \Pi'_W)$$



## Lattice operations: ordering and join

$$A^\# = (G, \Pi_N, \Pi_W) \in \mathbb{M}^\#, \quad B^\# = (G', \Pi'_N, \Pi'_W) \in \mathbb{M}^\#$$

$$A^\# \sqsubseteq^{\mathbb{M}} B^\# \quad \text{i.e.} \quad G \sim_\sigma G' \quad \wedge \quad (\Pi_N \sqsubseteq^{\mathbb{N}} \Pi'_N \wedge \Pi_W \sqsubseteq^{\mathbb{W}} \Pi'_W)$$

$$A^\# \sqcup^{\mathbb{M}} B^\# \quad \text{i.e.} \quad G_\sigma \wedge (\Pi_N \sqcup^{\mathbb{N}} \Pi'_N) \wedge (\Pi_W \sqcup^{\mathbb{W}} \Pi'_W)$$

Theorem: soundness of  $\sqsubseteq^{\mathbb{M}}$ ,  $\sqcup^{\mathbb{M}}$

*If  $A^\# \sqsubseteq^{\mathbb{M}} B^\#$ , then  $\gamma_{\mathbb{M}}(A^\#) \subseteq \gamma_{\mathbb{M}}(B^\#)$*

*For any  $A^\#, B^\# \in \mathbb{M}^\#$ ,  $\gamma_{\mathbb{M}}(A^\#) \cup \gamma_{\mathbb{M}}(B^\#) \subseteq \gamma_{\mathbb{M}}(A^\# \sqcup^{\mathbb{M}} B^\#)$*

Lattice operations **folding**: eliminate nodes not labeled by program variables by applying lemmas:

- Predicate definition  $P(\dots) \triangleq \bigvee_i \phi_i$  gives

$$\phi_i \Rightarrow P(\dots)$$

- List segment composition  $P \in \{\mathbf{hls}, \mathbf{hlsc}, \mathbf{fls}, \mathbf{flso}\}$  :

$$P(X; Y)[W_1] \star P(Y; Z)[W_2] \wedge W = W_1 \cdot W_2 \Rightarrow P(X; Z)[W]$$

- blk lemmas, e.g. :

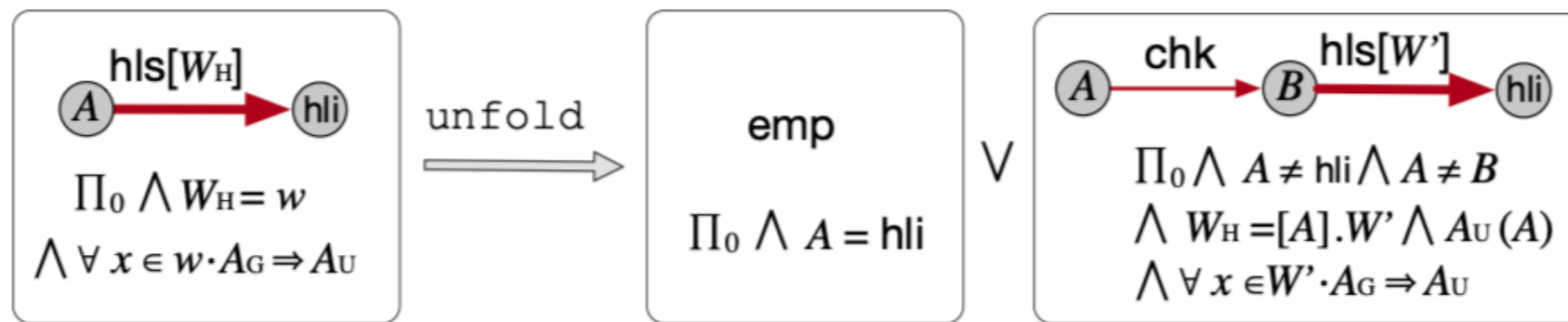
$$\mathbf{blk}(X; Y) \star \mathbf{blk}(Y; Z) \wedge X \leq Y \leq Z \Rightarrow \mathbf{blk}(X; Z)$$

Lattice operation materialisation: unfolding summary

$$\mathbf{Unfold}^\# : A^\# \rightarrow \mathcal{P}_{fin}(A^\#) \quad (A^\# \in M^\#)$$

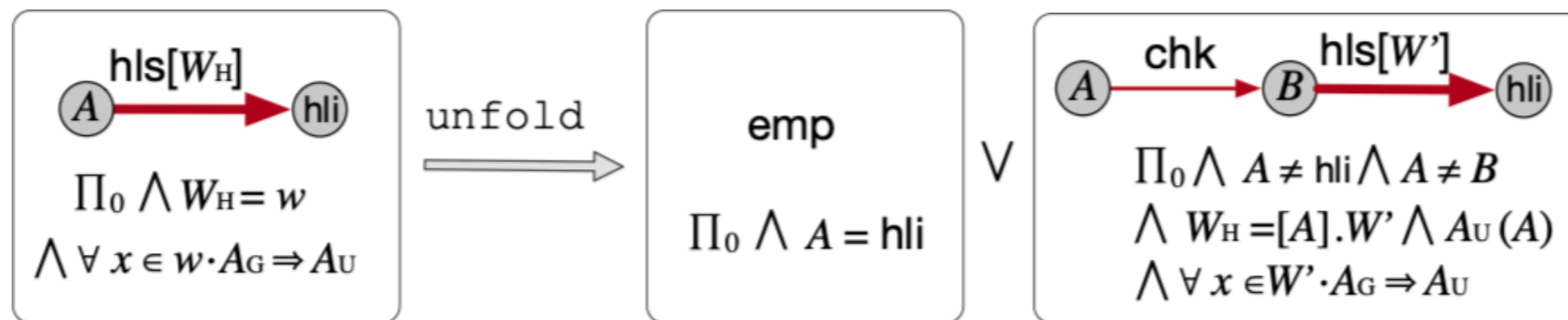
## Lattice operation materialisation: unfolding summary

$$\mathbf{Unfold}^\# : A^\# \rightarrow \mathcal{P}_{fin}(A^\#) \quad (A^\# \in M^\#)$$



## Lattice operation materialisation: unfolding summary

$$\mathbf{Unfold}^\# : A^\# \rightarrow \mathcal{P}_{fin}(A^\#) \quad (A^\# \in \mathbb{M}^\#)$$



Theorem: soundness of **Unfold**<sup>#</sup>

If **Unfold**<sup>#</sup> transforms  $A^\#$  into a finite number of disjuncts

$$A_1^\# \vee A_2^\# \vee \dots \vee A_n^\#, \text{ then } \gamma_{\mathbb{M}} \subseteq \bigcup_{0 \leq i \leq n} \gamma_{\mathbb{M}}(A_i^\#)$$

## Fields and Hierarchical Unfolding

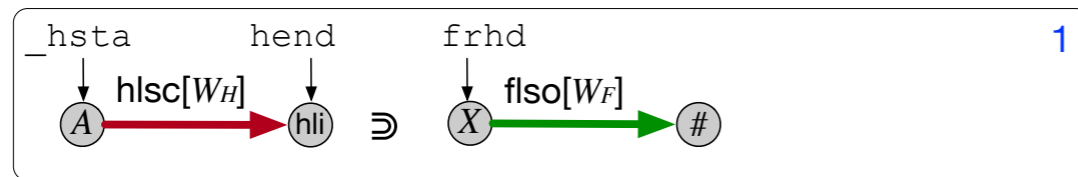
Let fix  $\mathbf{blk} \prec_P \mathbf{chd} \prec_P \mathbf{chk} \prec_P \mathbf{fck} \prec_P \mathbf{hls}, \mathbf{hlsc}, \mathbf{fls}, \mathbf{flso}$  ( $Q \leq_P P \triangleq (Q \prec_P P) \vee (Q = P)$ )

Given an atom  $P(X; \dots)$  and a statement  $\mathbf{s}$  accessing  $X$ ,

then **apply rules of (unfold)**  $P$  to obtain atom  $Q(X; \dots)$  s.t.  $Q \leq_P P$  and:

- if  $\mathbf{s}$  reads  $X.f$ , then  $Q \leq_P \mathbf{fck}$ ,
- if  $\mathbf{s}$  assigns  $X.isfree$  or  $X.fnx$ , then  $Q \leq_P \mathbf{chk}$ ,
- if  $\mathbf{s}$  mutates  $X$  using pointer arithmetic or assigns  $X.size$ , then  $Q \leq_P \mathbf{chd}$ .

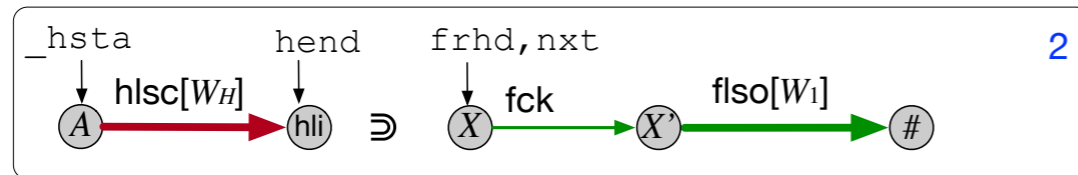
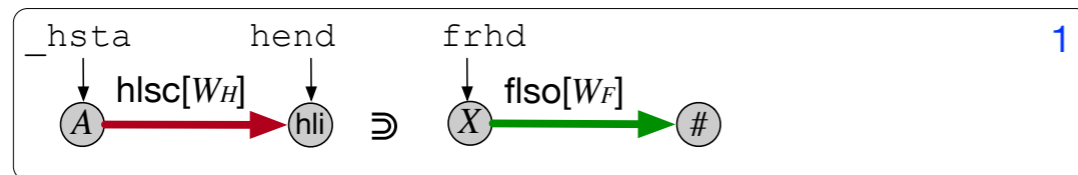
## Hierarchical folding and unfolding



```
void* malloc(size_t nbytes) {
  HDR *nxt, *prv;
  size_t nunits =
    (nbytes+sizeof(HDR)-1)/sizeof(HDR) + 1;
  for (prv = NULL, nxt = frhd; nxt;
       prv = nxt, nxt = nxt->fnx) {
    if (nxt->size >= nunits) {
      if (nxt->size > nunits) {
        nxt->size -= nunits;
        nxt += nxt->size;
        nxt->size = nunits;
      } else {
        if (prv == NULL)
          frhd = nxt->fnx;
        else
          ...
      }
    }
  }
}
```

before loop

## Hierarchical folding and unfolding

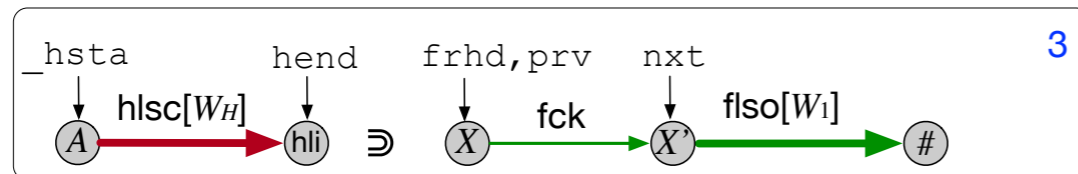
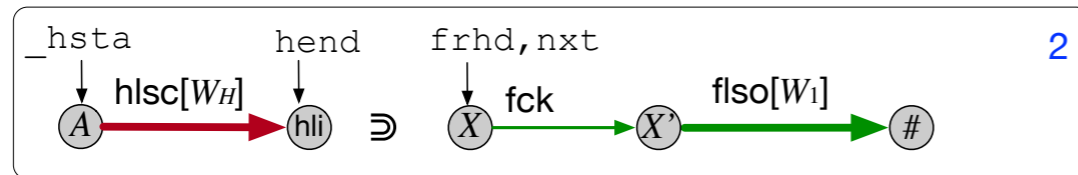
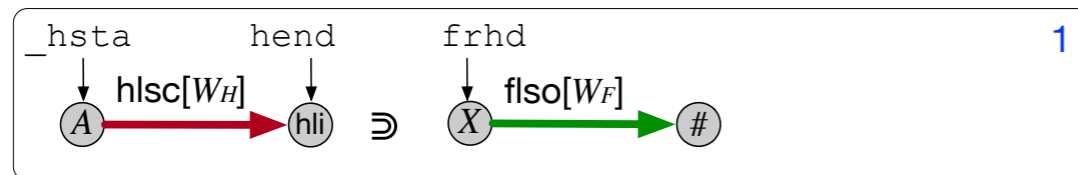


```
void* malloc(size_t nbytes) {
  HDR *nxt, *prv;
  size_t nunits =
    (nbytes+sizeof(HDR)-1)/sizeof(HDR) + 1;
  for (prv = NULL, nxt = frhd; nxt;
       prv = nxt, nxt = nxt->fnx) {
    if (nxt->size >= nunits) {
      if (nxt->size > nunits) {
        nxt->size -= nunits;
        nxt += nxt->size;
        nxt->size = nunits;
      } else {
        if (prv == NULL)
          frhd = nxt->fnx;
        else
          ...
      }
    }
  }
}
```

**Unfold free list summary**



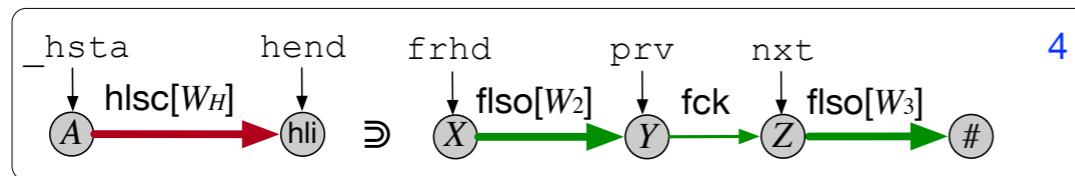
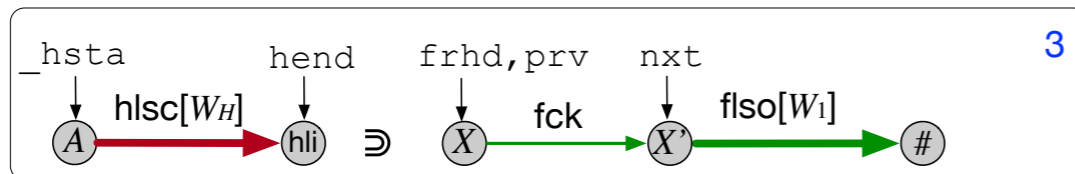
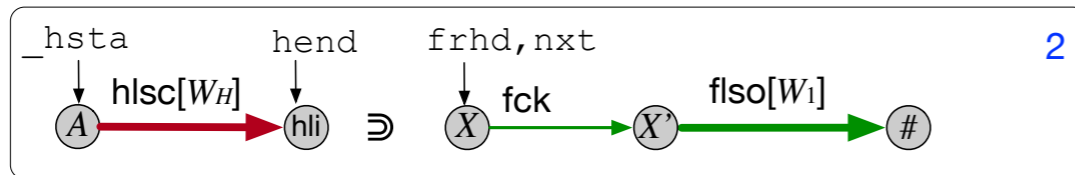
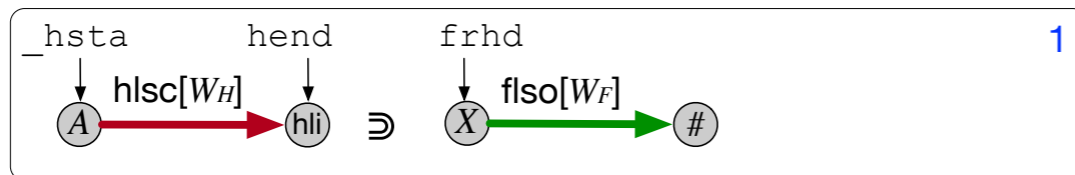
## Hierarchical folding and unfolding



```
void* malloc(size_t nbytes) {
  HDR *nxt, *prv;
  size_t nunits =
    (nbytes+sizeof(HDR)-1)/sizeof(HDR) + 1;
  for (prv = NULL, nxt = frhd; nxt;
       prv = nxt, nxt = nxt->fnx) {
    if (nxt->size >= nunits) {
      if (nxt->size > nunits) {
        nxt->size -= nunits;
        nxt += nxt->size;
        nxt->size = nunits;
      } else {
        if (prv == NULL)
          frhd = nxt->fnx;
        else
          ...
      }
    }
  }
}
```

**Unfold free list summary**

## Hierarchical folding and unfolding



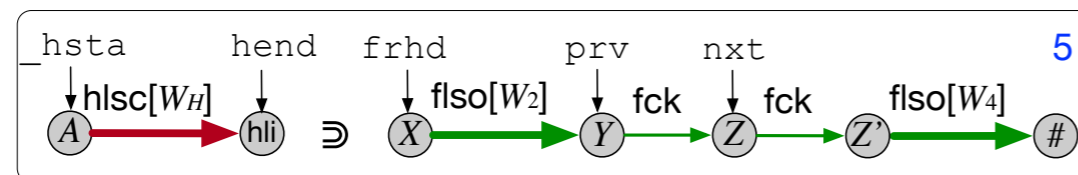
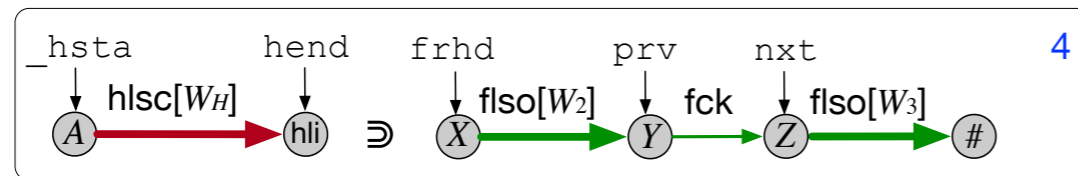
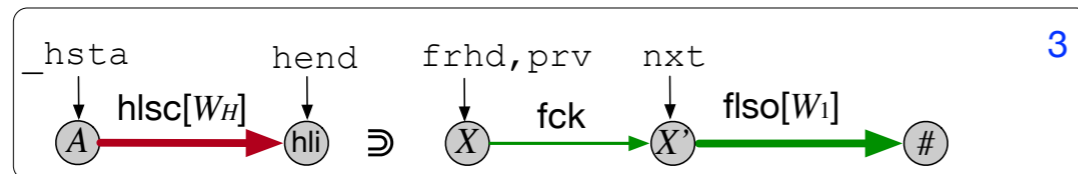
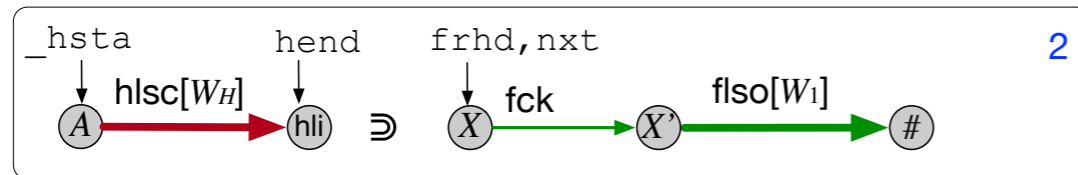
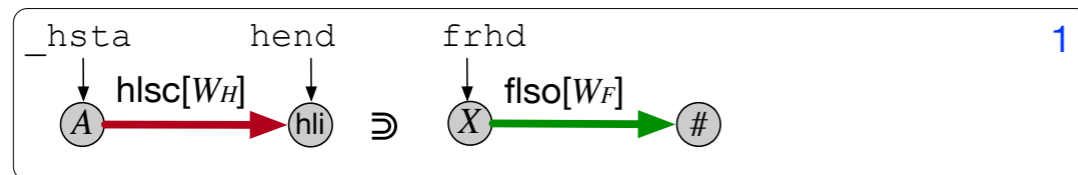
```

void* malloc(size_t nbytes) {
  HDR *nxt, *prv;
  size_t nunits =
    (nbytes+sizeof(HDR)-1)/sizeof(HDR) + 1;
  for (prv = NULL, nxt = frhd; nxt;
       prv = nxt, nxt = nxt->fnx) {
    if (nxt->size >= nunits) {
      if (nxt->size > nunits) {
        nxt->size -= nunits;
        nxt += nxt->size;
        nxt->size = nunits;
      } else {
        if (prv == NULL)
          frhd = nxt->fnx;
        else
          ...
      }
    }
  }
}

```

**i-th iteration**

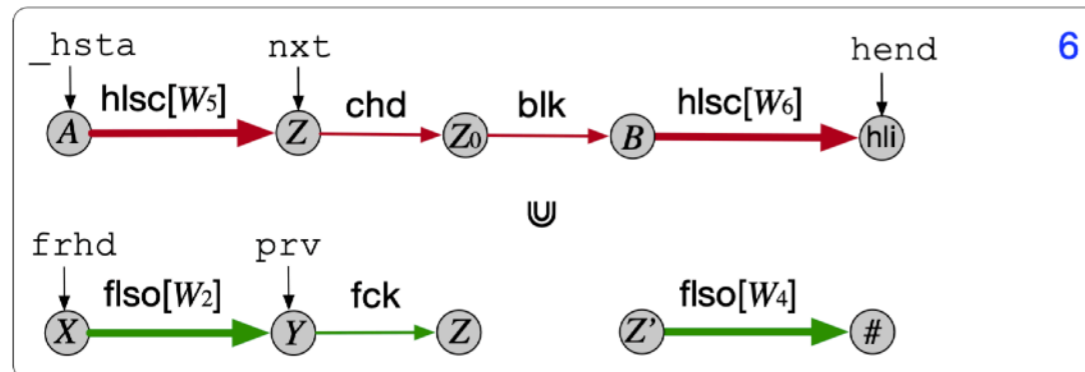
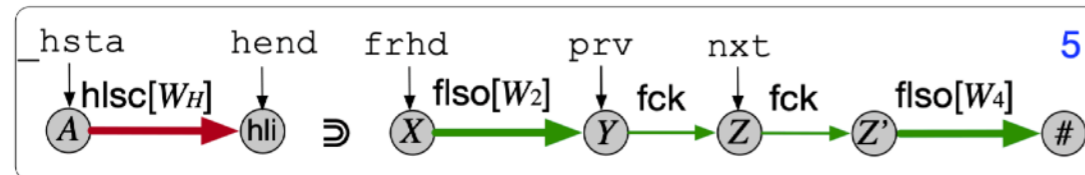
## Hierarchical folding and unfolding



```
void* malloc(size_t nbytes) {
  HDR *nxt, *prv;
  size_t nunits =
    (nbytes+sizeof(HDR)-1)/sizeof(HDR) + 1;
  for (prv = NULL, nxt = frhd; nxt;
       prv = nxt, nxt = nxt->fnx) {
    if (nxt->size >= nunits) {
      if (nxt->size > nunits) {
        nxt->size -= nunits;
        nxt += nxt->size;
        nxt->size = nunits;
      } else {
        if (prv == NULL)
          frhd = nxt->fnx;
        else
          ...
      }
    }
  }
}
```

**read size field**

## Hierarchical folding and unfolding



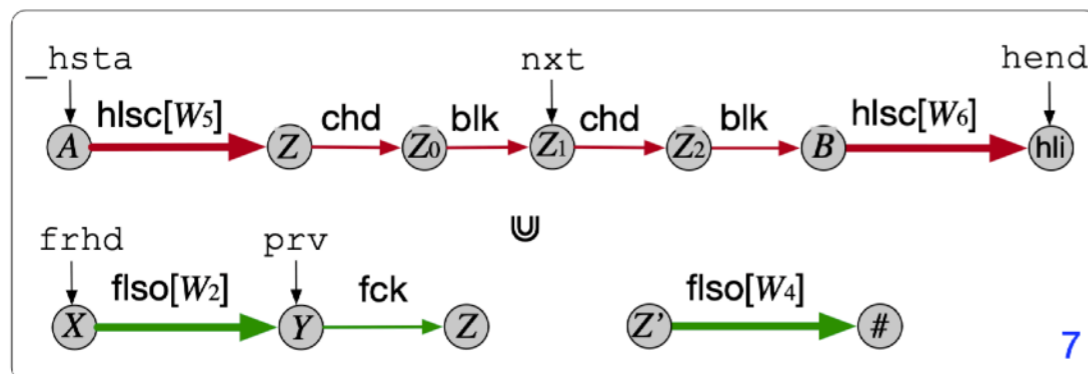
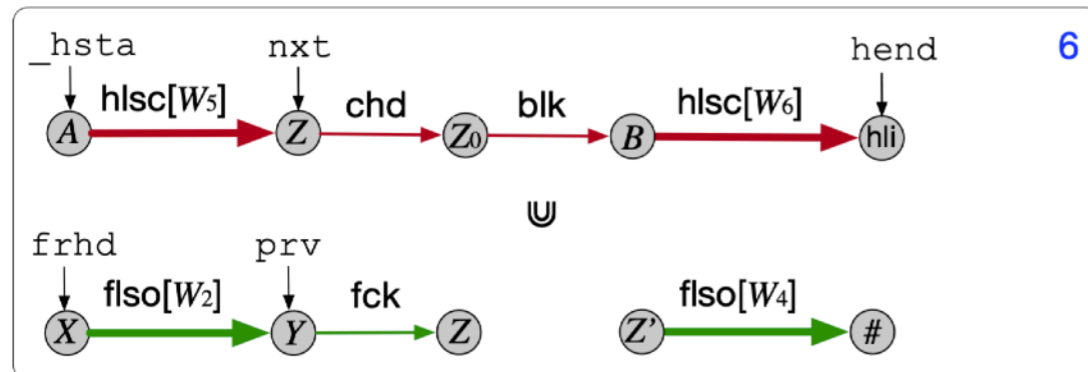
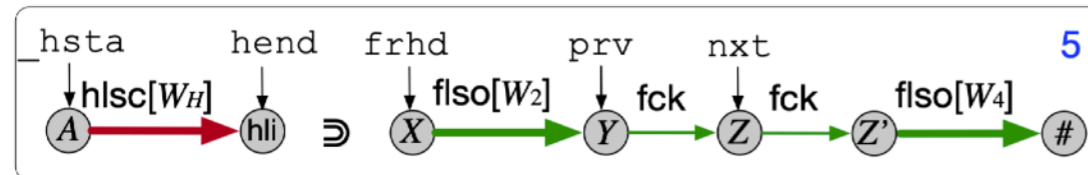
```

void* malloc(size_t nbytes) {
  HDR *nxt, *prv;
  size_t nunits =
    (nbytes+sizeof(HDR)-1)/sizeof(HDR) + 1;
  for (prv = NULL, nxt = frhd; nxt;
       prv = nxt, nxt = nxt->fnx) {
    if (nxt->size >= nunits) {
      if (nxt->size > nunits) {
        nxt->size -= nunits;
        nxt += nxt->size;
        nxt->size = nunits;
      } else {
        if (prv == NULL)
          frhd = nxt->fnx;
        else
          ...
      }
    }
  }
}

```

**write size field**

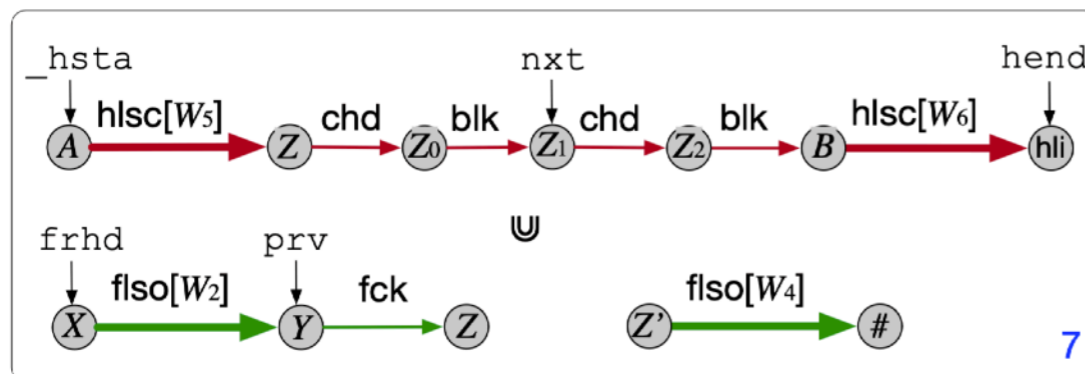
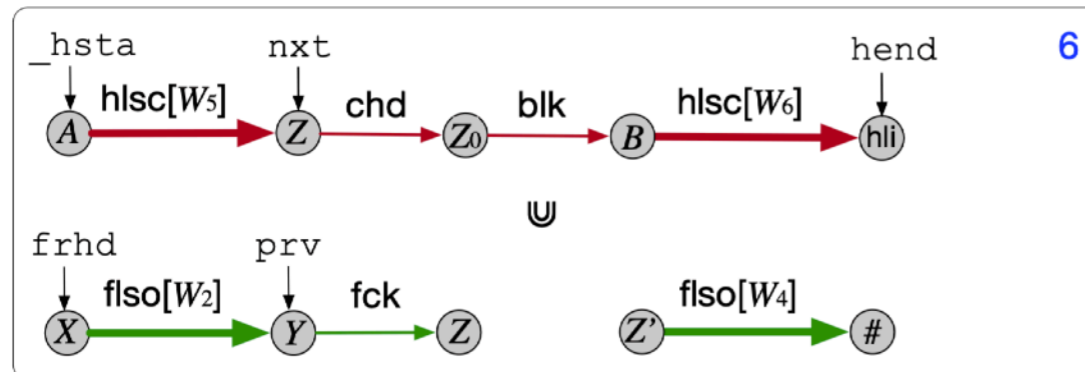
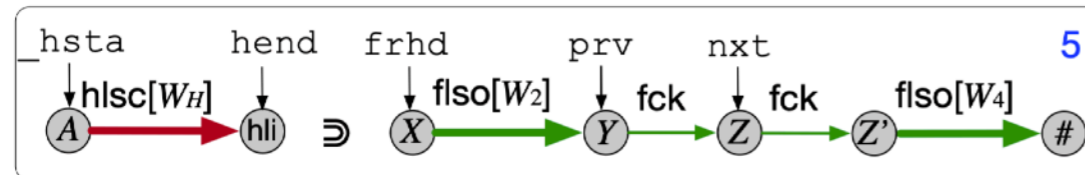
## Hierarchical folding and unfolding



```
void* malloc(size_t nbytes) {
    HDR *nxt, *prv;
    size_t nunits =
        (nbytes+sizeof(HDR)-1)/sizeof(HDR) + 1;
    for (prv = NULL, nxt = frhd; nxt;
        prv = nxt, nxt = nxt->fnx) {
        if (nxt->size >= nunits) {
            if (nxt->size > nunits) {
                nxt += nxt->size;
                nxt->size = nunits;
            } else {
                if (prv == NULL)
                    frhd = nxt->fnx;
                else
                    ...
            }
        }
    }
}
```

**write size field**

## Hierarchical folding and unfolding



```

void* malloc(size_t nbytes) {
    HDR *nxt, *prv;
    size_t nunits =
        (nbytes+sizeof(HDR)-1)/sizeof(HDR) + 1;
    for (prv = NULL, nxt = frhd; nxt;
        prv = nxt, nxt = nxt->fnx) {
        if (nxt->size >= nunits) {
            if (nxt->size > nunits) {
                nxt += nxt->size;
                nxt->size = nunits;
            } else {
                if (prv == NULL)
                    frhd = nxt->fnx;
                else
                    ...
            }
        }
    }
}
    
```

**write size field**

## Static analyser MMEN

- Frama-c plugin (Ocaml 38k LOC)
- Pointer arithmetics
- Low level system calls, e.g., sbrk
- Verifies a set of DMAs

## Future work

- Modelling and verification for **concurrent** memory algorithms (B, CIVL, etc)
- Other components of OS kernel
- Extension of the logic
- Scalable analysis tool



# Publications

2018	<b>Tool paper: Static Analyser for Dynamic Memory Allocators</b> (submit soon) <b>Journal paper: Hierarchical Shape Abstraction for Analysis of Dynamic Memory Allocators</b>
2017	<b>Formal Modelling of List Based Dynamic Memory Allocators.</b> <b>Bin Fang</b> , Mihaela Sighireanu, Geguang Pu. Journal of SCIENCE CHINA Information Sciences, 2017.
2017	<b>A Refinement Hierarchy for Free List Memory Allocators.</b> <b>Bin Fang</b> , Mihaela Sighireanu. ACM SIGPLAN International Symposium on Memory Management (ISMM) 2017.
2016	<b>Hierarchical Shape Abstraction of Free-List Memory Allocators.</b> <b>Bin Fang</b> , Mihaela Sighireanu. 26th International Symposium on Logic-Based Program Synthesis and Transformation LOPSTR 2016.
2015	<b>Formal Development of a Real-Time Operating System Memory Manager.</b> Wen Su, Jean-Raymond Abrial, Geguang Pu, <b>Bin Fang</b> . 20th International Conference on Engineering of Complex Computer Systems ICECCS 2015.
2014	<b>Automated Coverage-Driven Test Data Generation Using Dynamic Symbolic Execution.</b> Ting Su, Siyuan Jiang, Geguang Pu, <b>Bin Fang</b> , Jifeng He, Jun Yan, Jianjun Zhao. Eighth International Conference on Software Security and Reliability, SERE 2014.
2014	<b>Runtime Verification by Convergent Formula Progression.</b> Yan Shen, Jianwen Li, Zheng Wang, <b>Bin Fang</b> , Geguang Pu and Wangwei Liu. 21st Asia-Pacific Software Engineering Conference APSEC 2014.

Thank you! Questions 